

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



**EXECUTIVE DIRECTOR
Steven S. Sandvoss**

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, June 13, 2016
10:30 a.m.**

Capital Development Board - Room 14-612
James R. Thompson Center
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Recess the State Board of Elections and convene as the State Officers Electoral Board. (agenda will be sent under separate cover)
2. Recess the State Officers Electoral Board and reconvene as the State Board of Elections.
3. Approval of the minutes from the May 16 meeting. (will be sent under separate cover)
4. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for Reconsideration
 - 1) *SBE v. Cook County College Teachers Union Committee on Political Education*, 1087, 15MA107; (pgs.1-2)
 - 2) *SBE v. Citizens for Accountability*, 29571, 15AS038; (pgs.3-4)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 3) *SBE v. Illinois Psychiatric Society PAC*, 15354, 16DQ029; (pgs.5-7)
 - 4) *SBE v. Friends of Tricia Sweeney*, 31886, 16D1001; (pgs.8-14)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 5) *SBE v. Marshall County Republican Central Committee*, 294, 16DQ002; (pgs.15-17)
 - 6) *SBE v. Friends of Jimmie Watson*, 21738, 16DQ047; (pgs.18-20)
 - 7) *SBE v. Friends of Sue Scherer*, 23871, 16AD010; (pgs.21-27)
 - 8) *SBE v. Friends of Keith Matune*, 25724, 15SQ176; (pgs.28-30)
 - 9) *SBE v. Friends of Martin Arteaga*, 25890, 16MA005; (pgs.31-34)
 - 10) *SBE v. Riverdale 2015 Village Trustees*, 27293, 15MQ207; (pgs.35-39)
 - 11) *SBE v. Friends for Fox*, 31640, 16MA001; (pgs.40-45)
Complaint following public hearing
 - 12) *Rita v. Oak Brook for Natalie Cappeta*, 15CD107; (pgs.46-102)
Motion to Stay
 - 13) *Cooke v. Committee for Frank J. Mautino*, 16CD093; (pgs.103-123)

Other campaign disclosure items

- 14) Consideration of Settlement Offer form; (pgs.124-126)
- 15) Failure to appear at hearings; (pg.127)
- 16) Assessments/Board Orders; (pgs.128-129)
- 17) Payment of civil penalties – informational. (pg.130)

- 5. Report of the Executive Director
 - a. Preparations for the November 8, 2016 General Election:
 - 1) Disposition of Support Independent Maps petition; (pg.131)
 - 2) Resolutions to fill vacancies in nomination; (pg.132)
 - b. Legislative update; (pgs.133-150)
 - c. Senate Bill 172 update; (oral report)
 - d. Counting of SERS Annuitant Trustee ballots; (pg.151)
 - e. Two year plan of staff activity for the months of May & June – informational. (pgs.152-154)
- 6. Follow up. (pg.155)
- 7. Comments from the general public. (pg.155)
- 8. Next Board Meeting scheduled for Monday, July 11, 2016 at 10:30 a.m. in Springfield. (pg.155)
- 9. Executive Session. (pgs.156-167)

Cook County College Teachers Union

208 West Kinzie Street • Chicago, IL 60654 • (312) 755-9400 • Fax (312) 755-1030 • cccctu.org



Officers

President
Tony Johnston

Vice-President, Suburban
Delwyn C. Jones

Vice-President, Classified
RoseMarie Sakanis

Vice-President, City Colleges
Rochelle Robinson-Dukes

Secretary
Debra Baker

Treasurer
Rashid Carter

Grievance Chairman
CMI Winters Palacio

Legislative Chairman
Sean Noonan

Chapters

Chicago City Colleges Daley

Kennedy-King

Malcolm X Olive-

Harvey Truman

Washington Wright

Harper Moraine

Valley Morton

Oakton Prairie State

South Suburban Triton

Retirees

Affiliations

American Federation Of Teachers

Illinois Federation of Teachers Chicago

Federation of Labor Illinois Federation of

Labor AFL-CIO

April 26, 2016

State Board of Elections
State of Illinois
FAX: 312-814-6485

Attn: Campaign Disclosure

Motion to Reconsider

Please accept this as a Motion to Reconsider with regards to alleged violations of the Campaign Disclosure Act for our Political Education Committee ID# 1087. When we received notices previously regarding our reporting, our treasurer reached out to your office and was given instructions on how the reporting was to be done. These instructions he followed and we were not aware that there were any problems until we received the Final Notice dated April 12, 2016. We received no letter regarding the appeal process.

We are eager and willing to address any issues in our reporting and therefore appeal this final notice with the intention of complying completely with the Campaign Disclosure Act.

Yours Truly,

Tony Johnston, President

#1087-02

15MA107

STATE BOARD OF ELECTIONS

16 APR 26 PM 2:58

CHICAGO



STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 MA 107
)
Cook County College)
Teachers Union Committee)
On Political Education)
 Respondent(s).)

FINAL ORDER

TO: Cook County College Teachers Union Committee On Political Education ID# 1087
 208 W. Kinzie
 Chicago, IL 60610

This matter coming to be heard this 17th day of February, 2016 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 15 MA 107, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2015 Quarterly report; and
2. In case number 15 MA 107, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2015 Quarterly report, and
3. An appeal of the civil penalties was not submitted by the committee, and
4. The committee was previously assessed a penalty of \$700.00, which was stayed as a first violation, for the delinquent filing of the December 2014 Quarterly report (15 DQ 167). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$10,000.00 is imposed and the stay is lifted on the previously assessed penalty of \$700.00. The total amount of \$10,700.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 19, 2016, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/19/2016


Charles W. Scholz, Chairman

Marbold, Laura

From: Marissa Miller <GNGAdmin@govnavigationgroup.com>
Sent: Wednesday, June 01, 2016 1:34 PM
To: Marbold, Laura
Subject: Citizens for Accountability, ID# 29571 Notice

STATE BOARD OF ELECTIONS

2016 JUN -1 PM 1:52

Good Afternoon, Laura -

#29571
15AS038

I am the Secretary for Citizens for Accountability, ID# 29571. I am writing to request that the State Board of Elections reconsider the decision issued during their May 16th meeting. I planned to be in attendance for this session, but was only notified that the Examiner's opinion had been rendered for the Board's review on May 16th one to two days after the meeting had actually occurred. (I am uncertain as to whether I received the notice on the 17th or the 18th, but I do know that the date was definitely after the meeting had already occurred.)

I would like the opportunity to attend the next meeting the Board will have, if the Board is willing to revisit this civil penalty assessment.

Thank you,

Marissa Miller

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
)
Vs.) 15 AS 038
)
Citizens for Accountability)
 Respondent(s).)

FINAL ORDER

Appeal of Civil Penalty Assessment for the Delinquent
Filing of a Schedule A-1

TO: Citizens for Accountability
332 S. Michigan Ave, Ste 1032 - C 312
Chicago, IL 60604

ID # 29571

This matter coming to be heard this 16th day of May, 2016 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

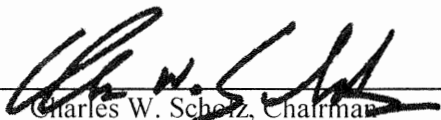
THE BOARD FINDS:

1. On March 1, 2016, a \$5000.00 civil penalty was assessed against the Respondent for failing to file a noted Schedule A-1 during the third quarter of 2015; appeal was taken from this assessment in case number 15 AS 038 herein, and
2. Previously, on August 28, 2015, the committee was assessed a penalty of \$10,800.00, which was stayed as a first violation, for the delinquent filing of a noted Schedule A-1 during the second quarter of 2015 (15 AJ 043). This assessment was not appealed and was reduced to \$1080.00 by the Board; and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the current appeal be denied for lack of an adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$500.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the recommendations of the Hearing officer and the General Counsel are adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$500.00 is hereby imposed and the stay is lifted from the previously assessed penalty of \$1080.00. The total amount of \$1580.00 is now due and owing, to be paid within 30 days of the effective date of this Order; and
3. The effective date of this Order is May 18, 2016; and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/18/2016


Charles W. Schetz, Chairman

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

16 DQ 029

Illinois Psychiatric Society PAC 15354
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2015 Quarterly Report

The December 2015 Quarterly Report was received on 2/1/16, 10 days late, resulting in an assessment of \$2,000. Additionally, the Committee had previously been assessed a \$400 civil penalty (appealed, denied, paid) for delinquently filing the December 2011 Quarterly Report; a \$50 civil penalty (not appealed, paid) for failing to file a Schedule A-1 Report in the 4th Quarter of 2012; a \$1,000 civil penalty (appealed, reduced, paid) for delinquently filing the December of 2013 Quarterly Report. The total assessment is \$2,000.

Meryl Sosa, the treasurer of the committee, appeared at the April 5th appeal hearing.

Ms. Sosa stated that she has trouble working with the IDIS System and she regularly calls in for assistance. She said that on 1/8/16 she called the State Board of Elections and with the assistance of staff she filed a Schedule A-1 Report electronically and she attempted to file the December 2015 Quarterly Report however the report must not have gone through. She said that she saw the successfully filed screen for both Reports so she does not know what happened. She also stated that she is going to make sure the report goes through from now on as she has started to print out the screen showing the report was successfully filed.

The Illinois Psychiatric Society PAC filed a Schedule A-1 report on 1/8/16 and I am not sure what happened regarding the December 2015 Quarterly Report. It is clear that Ms. Sosa thought the report had been filed as she sent the State Board of Elections a letter of correspondence which was received by the Board on 1/14/16 that says she filed a Schedule A-1 Report and a Quarterly Report on 1/8/16. Therefore, the Hearing Officer believes it is clear she thought the report was filed as this letter was received before the filing deadline for the Quarterly Report. Taking this into consideration and being consistent with prior Board decisions when an electronic filing issue is presented as a defense, and since this defense has not previously been offered, I recommend the appeal be granted. (As of 3/31/16, this Committee reported a funds available balance of \$8,416.)



Andy Nauman – Hearing Officer
May 5, 2016

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 2016 MAR 28 PM 1:44
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 16DQ029

ILLINOIS PSYCHIATRIC SOCIETY PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, KENNETH G. BUSCH, MD, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

ILLINOIS PSYCHIATRIC SOCIETY PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

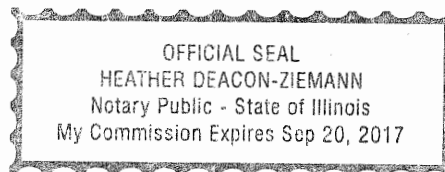
THE REPORT WAS FILED ELECTRONICALLY ON JANUARY 8, 2016
ON THAT DATE, MS. SOSA CONTACTED THE STATE BOARD OF ELECTIONS
FOR ASSISTANCE WITH THE ELECTRONIC FILING AND THEY WERE ON THE
PHONE WHEN THE REPORT WAS SUBMITTED. MS SOSA SAID THE SCREEN
SHOWING THE REPORT HAD BEEN SUBMITTED. NEXT TIME, MS SOSA WILL PRINT
OUT THE SUBMISSION PAGE.

Kenneth G. Busch MD
Signature of Chairman/Treasurer

Signed and Sworn to by:
Kenneth G. Busch

before me this 25 Day of May, 2016

Notary Public Heather Deacon-Ziemann
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

March 1, 2016

ID# 15354

Illinois Psychiatric Society PAC
300 W Adams St, Ste 424
Chicago, IL 60606-5108

7015 0640 0002 4567 1686

Dear Illinois Psychiatric Society PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2015 through December 31, 2015
Filing Period:	January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 2/1/2016, 10 day(s) late. As such, this committee has been assessed a fine of \$2000.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

16 D1 001

Friends of Tricia Sweeney 31886

Respondent

REPORT OF HEARING OFFICER

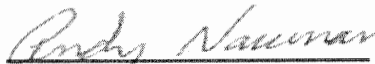
Appeal of Civil Penalty Assessment for Delinquent Filing of the Statement of Organization

The report was received by the Board on 12/23/15, 3 days late, resulting in a civil penalty assessment of \$150.

The Respondent was represented by attorney Michael J. Kasper at the May 13th appeal hearing

Mr. Kasper stated that the Committee filed the Statement of Organization on 12/23/15 prior to reaching the \$5,000 threshold and mistakenly reported that they had a creation date of 12/6/15. The Committee later amended the Statement of Organization on 4/4/16 and changed the creation date to 12/22/15 which is the day prior to the day they filed the Statement of Organization with the Board. Mr. Kasper stated that the Committee was still below the \$5,000 threshold as of 12/22/15. Mr. Kasper submitted the two Statement of Organizations as supporting evidence.

I recommend the appeal be granted. The Committee amended their creation date on an Amended Statement of Organization on 4/4/16. Taking into consideration when the original Statement of Organization was filed, the amended creation date, and Mr. Kasper's statement that they were still below the \$5,000 threshold on 12/22/15 the report would have been filed in a timely manner. (As of 3/31/16, this Committee showed a funds available balance of \$2,906.80.)


Andy Nauman – Hearing Officer
May 23, 2016



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

STATE BOARD OF ELECTIONS

Full name and complete mailing address of Political Committee:

Friends of Tricia Sweeney
120 Snow Ave.
Loves Park, IL 61111

2015 DEC 23 PM 2:06

E-MAIL ADDRESS: sweeneyforstaterep@gmail.com

POLITICAL COMMITTEE
IDENTIFICATION No.

31886-13

☐ CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: 12/6/15	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE: \$3685.00
3.	<input checked="" type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.) <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONLY ONE: <input checked="" type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* *For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: State Representative <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures.		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) 68th Representative District B. POLITICAL PARTY AFFILIATION: Democratic C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:		
6.	PURPOSE OF THE POLITICAL COMMITTEE. To support the election of Tricia Sweeney as State Representative of the 68th District.		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
Tricia Sweeney 120 Snow Avenue Loves Park, IL 61111	<input checked="" type="checkbox"/>	<input type="checkbox"/>	State Representative, 68th Representative District	Democratic

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

Revised 7/9/12

COMMITTEE NAME: Friends of Tricia Sweeney	POLITICAL COMMITTEE IDENTIFICATION No.: 31886
--	--

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Larry Sweeney	120 Snow Ave., Loves Park, IL 61111; 815-636-4371
TREASURER	Katie Peterson	11190 Linden Blossom, Roscoe, IL 61073; 815-520-0786

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
Chairman	Larry Sweeney	120 Snow Ave., Loves Park, IL 61111, 815-823-3250

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

NAME	MAILING ADDRESS AND PHONE NUMBER
Blackhawk Bank	2745 Perryville Rd., Rockford, IL 61107; 815-636-4371

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
☒ TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

Larry Sweeney
 PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

12/10/15
DATE

VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES; (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION; (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE OR POLITICAL ACTION COMMITTEE; AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

Larry Sweeney
 PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

12/10/15
DATE

VERIFICATION: ALL POLITICAL COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Tricia Sweeney
 PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

12-9-15
DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503
fax: 217-557-5630

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL, 60601-3232
fax: 312-814-6485

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Friends of Tricia Sweeney
120 Snow Ave
Loves Park, IL 61111CHICAGO
16 APR -4 PM 2:41
STATE BOARD OF ELECTIONS

E-MAIL ADDRESS:

☐ CHECK HERE IF ADDRESS CHANGEPOLITICAL COMMITTEE
IDENTIFICATION No.

31886-13

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: 12/22/15	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$		
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input checked="" type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.) <input type="checkbox"/> REACTIVATING				
4.	POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONLY ONE: <input type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* *For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures.				
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) B. POLITICAL PARTY AFFILIATION: C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:				
6.	PURPOSE OF THE POLITICAL COMMITTEE.				
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)				
NAME AND ADDRESS		SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
		<input type="checkbox"/>	<input type="checkbox"/>		

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

Revised 7/9/12

COMMITTEE NAME:

POLITICAL COMMITTEE IDENTIFICATION No.:

31886-13

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN		
TREASURER		

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

NAME	MAILING ADDRESS AND PHONE NUMBER

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- ☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- ☐ TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION. AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON**DATE****VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY**

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON**DATE****VERIFICATION: ALL POLITICAL COMMITTEES**

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Tricia Sweeney *Tricia Sweeney*
PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

4-2-16

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
 2329 S MACARTHUR BLVD
 SPRINGFIELD, IL 62704-4503
 fax: 217-557-5630
 e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
 JAMES R. THOMPSON CENTER
 100 W RANDOLPH ST, STE 14-100
 CHICAGO, IL 60601-3232
 fax: 312-814-6485
 e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS

State of Illinois)

County of: Cook)

2016 MAR 23 PM 3:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 116-D1-001

Friends of Tricia Sweeney

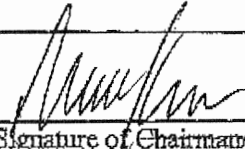
Respondent(s):

APPEAL AFFIDAVIT

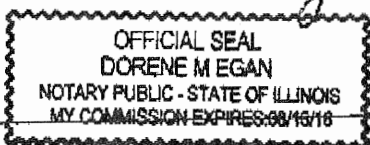
I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)Friends of Tricia Sweeney

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.
Signature of Chairman/Treasurer
Attorney

Signed and Sworn to by:

Michael J. Kasperbefore me this 22nd Day of March, 2016Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
March 1, 2016
ID# 31886

Friends of Tricia Sweeney
Larry Sweeney
120 Snow Ave
Loves Park, IL 61111

7015 0640 0002 4567 2645

Dear Friends of Tricia Sweeney:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 12/23/2015, 2016, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

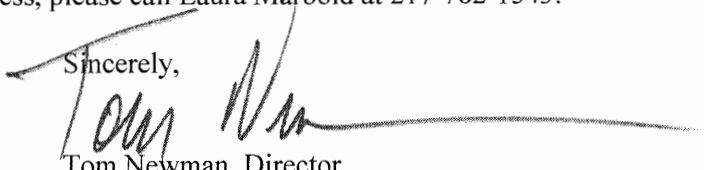
Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 25, 2016 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 DQ 002

Marshall County Republican Central Cmte

Cmte ID: 294

Respondent

REPORT OF HEARING OFFICER

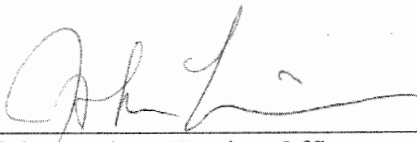
Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2015 Quarterly Report

The Report was received February 3rd, 2016, 12 days late. The assessment is \$300. This is the Committee's first offense.

Michael Mendola, the Chairman of the Committee, filed an Appeal Affidavit in this matter and testified at a hearing at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, at 10a.m. on Tuesday, May 10th, 2016. At the hearing, Mr. Mendola testified former treasurer Alan Waldschmidt was diagnosed with cancer in the spring of 2015. The last Report he filed was the June 2015 Quarterly Report. Upon Mr. Waldschmidt's death during the last quarter of 2015, there was a delay in the Committee notifying Board staff that advance notices for Reports needed to be sent to a different address, and that led to Mr. Waldschmidt's replacement failing to meet the deadline in filing the December Quarterly Report. Mr. Mendola said the delinquent filing was unintentional and noted very little activity occurred during the last three months of last year.

I certainly sympathize with the Committee under the circumstances and recognize it was largely inactive during the time in question but must recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. If the Board accepts this recommendation, a stay would be placed on the \$300 assessment as the product of a first violation. As of March 31st, 2016, the Committee's reported balance was \$954.82.



John Levin – Hearing Officer
May 10th, 2016

Marshall County Republican Central Committee

PO BOX 275, Wenona IL 61377

Mike Mendola, Chairman Charles Owens, Vice-Chairman Kent Flanigan, Treasurer

Committee Members:

Corby Miller, Jack Johnson, James Soon, Ben Eckert, Jennifer Beaver, Jamie Durham

March 11, 2016

State Board of Elections

Attn: Campaign Disclosure

2329 S. MacArthur Blvd

Springfield IL 62704-4503

In the matter of December 2015 Quarterly Report

Gentlemen:

It is our intention to appeal the determination referenced in your March 1, 2016 letter. Even though the letter states the penalty will be stayed because of being a first offense, I will ask the record be expunged so that no sword of Damocles hangs over our heads. I believe the circumstances of this violation will allow for the record to be so cleared.

We are a small county as of consequence, a small committee, consisting of fewer than 10 members. Our former treasurer, Alan Waldschmidt, died during the last quarter of 2015. His widow Vicki, understandably was overwhelmed with grief and was not aware of the timing of the report.

When the need to file the report became known, it was dispatched by our new treasurer, Kent Flanigan as soon as he received it, which, according to your letter, was received only 12 days late.

It is for those reasons we seek the record to be expunged.

Sincerely Yours



Michael Mendola

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
March 1, 2016

Marshall County Republican Central Cmte
c/o Earl Flanigan
1037 Apple Knob Rd
Lacon, IL 61540

ID# 294

7015 0640 0002 4567 0917

Dear Marshall County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2015 through December 31, 2015
Filing Period: January 4, 2016 through January 15, 2016


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/3/2016, 12 days late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

16 DQ 047

Friends of Jimmie Watson 21738

Respondent

REPORT OF HEARING OFFICER

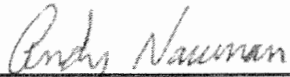
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2015 Quarterly Report

The report was received by the Board on 1/25/16, 5 days late, resulting in a civil penalty assessment of \$375. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly Report; a \$100 civil penalty (not appealed, paid) for delinquently filing the March 2013 Quarterly Report; a \$75 civil penalty (not appealed, paid) for delinquently filing the September 2013 Quarterly Report; and a \$750 civil penalty (appealed, denied, not paid) for delinquently filing the September 2015 Quarterly Report.

Jimmie Watson, the candidate, appeared at the rescheduled May 18th appeal hearing.

Mr. Watson stated that he received the Board's Final Order on 2/19/16 for an assessment of a \$750 civil penalty which was to be abated on 2/19/18 so long as the committee remains dissolved without forming a Successor committee pursuant to Rules and Regulations 100.110(b). Mr. Watson stated that since the Final Order was dated after he had filed his Final Report he did not believe he would be assessed any additional penalties because he filed his Final Report on 1/25/16. He stated that he never used the Committee to raise money and he didn't end up running for mayor. He said he simply got behind on his reports but he has filed all of them. Mr. Watson requests the appeal be granted however he would like to receive any consideration he can to reduce the penalty if the appeal is not granted as he intends to run for election in 2017.

I recommend the appeal be denied for lack of an adequate defense. The Committee may have filed their Final Report prior to the issuance of the Board's Final Order dated 2/19/16 however the penalty was not assessed until after the 2/19/16 Board Order was mailed. The Committee was assessed on 3/1/16 and I believe the wording of the Final Order dated 2/19/16 does not preclude any further violations from being issued on the Committee. The Committee was delinquent on filing their Final Report and I recommend they be assessed. If this recommendation is accepted by the Board the total penalty of \$1,125 will be due and owing (\$375 for the December 2015 Quarterly Report and \$750 for the previously assessed delinquent filing of the September 2015 Quarterly Report). However, the Board may want to take into consideration that this Committee was established in 2009, and they only reported \$330 of receipts and expenses since that point in time. Mr. Watson contributed \$230 in 2009 and they had \$100 in not-itemized transactions in 2013. (The Committee filed a Final Report on showing a \$0 balance on 1/25/16.)


Andy Nauman – Hearing Officer
May 26, 2016

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2016 MAR 29 PM 1:49

THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Case No. 16DQ047

Friends of Jimmie Watson
Respondent(s)

APPEAL AFFIDAVIT

Jimmie Watson the Jimmie Watson of the
(Name) (Chairman/Treasurer)
Friends of Jimmie Watson
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Final Order given on Feb 17, 2016

Signature of Chairman/Treasurer

Subscribed and Sworn to by:

Subscribed and Sworn to by: _____
I declare this _____ Day of _____, 20____
My Public

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

March 1, 2016
ID# 21738

Friends of Jimmie Watson
Jimmie Watson
1445 Lexington Ave
Ford Heights, IL 60411-3863

7015 0640 0002 4567 1778

Dear Friends of Jimmie Watson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2015 through December 31, 2015
Filing Period:	January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/25/2016, 5 day(s) late. As such, this committee has been assessed a fine of \$375.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.***

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2015 September	Quarterly	\$750.00
<i>TOTAL AMOUNT NOW DUE</i>		<i>\$1125.00</i>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

16 AD 010

Friends of Sue Scherer 23871

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,026.13 in-kind contribution on 10/17/15, and reported it on a Schedule A-1 Report received by the Board on 11/8/15, 11 days late, resulting in a civil penalty of \$1,013. The Committee was previously assessed a \$50 civil penalty (not appealed, reduced, stayed) for delinquent filing of a Schedule A-1 in the 4th Quarter of 2013. The total assessment is \$1,063.

The Respondent was represented by attorney Michael Kasper at the May 13th appeal hearing.

Mr. Kasper stated that the Committee's candidate, Sue Scherer, received an email on 10/12/15 containing an attachment of an in-kind contribution notification form from the Democratic Central Committee of Christian County. He said the Committee accidentally reported the wrong receipt date on the report. Additionally, Mr. Kasper stated that Ms. Scherer forwarded the information on to her treasurer, Doug Soebbing, on the same day that she received the email. However, when she forwarded the email to her treasurer she sent the email to an email address that he had stopped using and he did not check that email account until 11/8/15. Once Mr. Soebbing saw the email he immediately took action and filed the Schedule A-1 report and notified Ms. Scherer that she had sent the notification to his old email address. Mr. Kasper supplied the hearing officer with copies of the 10/12/15 email including a copy of the attached in-kind notification form and a copy of the 11/8/15 email Mr. Soebbing sent Ms. Scherer as supporting evidence.

I recommend the appeal be denied for lack of an adequate defense. A contribution of promotional items which is not actually obtained by the recipient committee is deemed received on the date the candidate received the notification. Therefore, the contribution should have been reported within 5 business days of 10/12/15. Since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second Schedule A-1 violation for the Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$507. However, I also recommend the Committee be ordered to amend its December 2015 Quarterly Report to reflect the correct receipt date of 10/12/15, with the amendment to be filed within 30 days of the date of the Final Board Order in this matter. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of \$557 will be due and owing. (As of 3/31/16, this Committee showed a funds available balance of \$142,978.38.


Andy Nauman – Hearing Officer
May 23, 2016



Fwd: Email address
Michael Kasper to: Dorene Egan

05/13/2016 11:27 AM

Begin forwarded message:

From: Anne Schaeffer <aschaeff@gmail.com>

Subject: Fwd: Email address

Date: May 13, 2016 11:07:12 AM CDT

To: Michael Kasper <mjkasper60@me.com>

Here is the response from Sue's account Doug Soebbing about the change of email address.

----- Forwarded message -----

From: Doug Soebbing <dwsoebbing@gmail.com>

Date: Sun, Nov 8, 2015 at 8:41 PM

Subject: Email address

To: Sue Scherer <sueschererforstaterep@yahoo.com>, Anne Schaeffer <aschaeff@gmail.com>

Sue / Anne,

Just a reminder that I stopped using the email address iltaxhelp@comcast.net.

Please be sure to write me at dwsoebbing@gmail.com.

I just happened to check email at the old address and found a gift-in-kind from three weeks ago. Fortunately it is in Q4 and I'll get it reported immediately.

Thanks,

Doug



Fwd: In-Kind Notification
Michael Kasper to: Dorene Egan

05/13/2016 11:23 AM

History: This message has been replied to.

please print this email

Begin forwarded message:

From: Sue Scherer <sueschererforstaterep@yahoo.com>

Subject: Fw: In-Kind Notification

Date: April 1, 2016 8:51:18 AM CDT

To: "mjkasper60@mac.com" <mjkasper60@mac.com>

Reply-To: Sue Scherer <sueschererforstaterep@yahoo.com>

This is the in kind I emailed my treasurer. Sue

On Wednesday, October 14, 2015 8:59 AM, Scherer Political <sueforrep@gmail.com> wrote:

Ok thanks Tim ! Sue

Sent from my iPhone

On Oct 12, 2015, at 9:24 AM, Tim Drea <Tim.Drea@ilafl-cio.org> wrote:

Sue,

I have attached an in-kind notification for promotional items from the Democratic Party of Christian County.

Please contact me at 630-253-0337 if you have any questions

Tim Drea

<DPCC - Scherer inkind 10-8-15.pdf>

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of these donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends of Scott Schaefer
711 E Stella Drive
Decatur, IL 62526

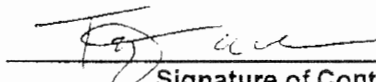
Democratic Party of Christian
County
701 W Main Cross
Taylorville, IL 62568

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
AB Computer P.O. Box 9594 Springfield, IL 62791	10/8/15	2526.13
	Description	
	Promotional items	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

10/12/15
Date

STATE BOARD OF ELECTIONS

State of Illinois)

County of: Cook)

2016 MAR -7 PM 1:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 16A5010

Friends of Camille Y Lilly)

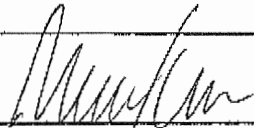
Respondent(s):)

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)Friends of Sue Scherer

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.
Signature of Chairman/Treasurer
Attorney

Signed and Sworn to by:

Michael J. Kasperbefore me this 7 Day of March, 2016

Notary Public

(seal)

OFFICIAL SEAL
DORENE M EGANNOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 08/18/16

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

March 1, 2016

Friends of Sue Scherer
Brad Scherer
711 E Stella Drive
Decatur, IL 62526

ID# 23871

7015 0640 0002 4567 2621

Dear Friends of Sue Scherer:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Democratic Central Committee of Christian County	10/17/2015	\$2026.13	11/8/2015	11	\$1013

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1013.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$507.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.**

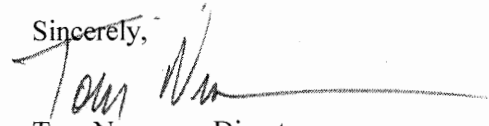
Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013 December	A1	\$50
TOTAL AMOUNT NOW DUE		\$557

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 SQ 176

Friends of Keith Matune 25724

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 12/21/15, 44 days late, resulting in a civil penalty assessment of \$2,200. Additionally, the Committee was previously assessed a \$250 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1 Report in the 1st Quarter of 2014. The total assessment is \$2,450.

The Committee was represented by attorney Christine Svenson at the May 4th appeal hearing.

Ms. Svenson stated that it was her fault that the report was not filed on time as she was the person who was responsible for filing the report. She said the Committee did not have any activity and the report was not on her radar. She requests that the Board reduce the penalty or the Committee may have to close and submit a Final Report.

I recommend that the appeal be denied for lack of an adequate defense. I understand the Committee may not have had any activity but they still have a responsibility to timely file required campaign disclosure reports. As for the request for a reduced penalty, the Hearing Officer does not believe the statute provides for such relief in relation to assessments for quarterly reports however the Respondent is aware they could potentially offer the Board a settlement. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of \$2,450 will be due and owing. (As of 3/31/16, this committee showed a funds available balance of \$6,278.61 however their attorney said this amount is not correct as they are in need of filing some amendments.)


Andy Nauman – Hearing Officer
May 5, 2016

STATE BOARD OF ELECTIONS

2016 APR -7 PM 12:00

County of Illinois)
City of: COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;

NOIS STATE BOARD OF ELECTIONS,

Complainant

FRIENDS FOR
KEITH MATTHEWS
Respondent(s).

Case No. 1580176

APPEAL AFFIDAVIT

Michael J. Barker the Chairman of the
(Name) (Chairman/Treasurer)

FRIENDS FOR KEITH MATTHEWS
(Name of the Committee)

Witness, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
n or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

TWO QUANTITATIVELY REPORTS WERE NOT FILED DUE
TO INADVERTENT HUMAN ERROR. THERE WAS
NEVER AN INTENT TO SUBVERT STATE
ELECTION LAWS. IT WAS SIMPLY A MISTAKE.



Kathleen A. Peters
Kathleen A. Peters
April 6, 2016

Michael J. Barker
Michael J. Barker
April 6, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

March 1, 2016

ID# 25724

Friends for Keith Matune
PO Box 552
Downers Grove, IL 60515

7015 0640 0002 4567 2812

Dear Friends for Keith Matune:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 12/21/2015, 44 day(s) late. As such, this committee has been assessed a fine of \$2200.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 March 8-28-15	A1 HAMO91	\$2500
TOTAL AMOUNT NOW DUE		\$2450.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

16 MA 005

Friends of Martin Arteaga 25890

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June, September, & December 2015 Quarterly Reports

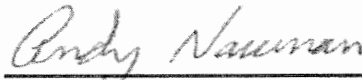
The June and September 2015 Quarterly Reports were received by the Board on 12/4/15, which were 97 and 33 days late respectively, resulting in a civil penalty assessment of \$5,000 for each report. The December 2015 Quarterly Report was received by the Board on 1/20/16, 2 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee was previously assessed civil penalties of \$50, \$125, & \$150 (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Reports in the 4th Quarter of 2014; and a \$200 civil penalty (not appealed, paid) for delinquently filing the March 2015 Quarterly Report. The total assessment is \$10,400.

Martin Arteaga, the candidate of the Committee, appeared at the rescheduled May 17th appeal hearing.

Mr. Arteaga stated that after the election his Committee went dormant, they moved out of the office they had, they did not renew the PO Box, and the campaign team moved on. He also stated that he had taken time off of work for nearly a year to campaign and he had to go back to work to provide for his family. Mr. Arteaga said that since the PO Box was closed he did not receive any of the notices that were sent to the Committee and he assumed as a first time candidate that he did not have any further maintenance with the Board in relation to the Committee because he was no longer seeking office. He said he coincidentally contacted the Board and found out that he had additional filings and he came into the Chicago office to work with Jason Meyer to file the required reports. He said if he had not contacted the Board he would not have known he was required to continue filing reports. After Mr. Arteaga filed the reports he said he received an assessment notice for which he believes he has already paid the Board around \$700 for these violations. Mr. Arteaga thought he was then in the clear until he found out the Board had taken further action against him and this is why he is appealing the assessments. Mr. Arteaga stated that he wants to close out the Committee however his former campaign manager stole from his Committee by using it as his personal spending account before he was fired and he has to amend his reports to account for this activity. He said he filed a police report regarding the theft and he requests the Board's mercy.

I recommend the appeal be denied for lack of an adequate defense. It is the Committee's responsibility to make sure they have accurate addresses on file with the State Board of Elections and it is their responsibility to file the appropriate reports. I feel it is rather troubling that the Committee knew they did

not have an accurate address on file with the State Board of Elections in December of 2015 and the issue was not corrected until after we concluded the rescheduled hearing in May of 2016. In the meantime the Committee delinquent filed the June and September 2015 Quarterly Reports in December of 2015, they delinquent filed the December of 2015 Quarterly Report in January of 2016, and they missed the scheduled May 5th appeal hearing because they did not have a good address on file with the State Board of Elections. Furthermore, the \$525 in civil penalties that the Committee paid were for the delinquent filing of 3 Schedule A-1 contributions in the 4th Quarter of 2014 and the delinquent filing of the March 2015 Quarterly Report. If this recommendation is accepted by the Board the total assessment of \$10,400 will be due and owing. (As of 3/31/16, this Committee showed a funds available balance of \$12,710.92 however Mr. Arteaga stated that this amount is off as he has not accounted for the funds that his campaign manager stole in amended reports as of yet.)



Andy Nauman – Hearing Officer

May 26, 2016

State of Illinois)

County of: COOK)

STATE BOARD OF ELECTIONS

2016 APR -4 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Martin Arteaga)

Case No. 16MA005

Respondent(s).)

APPEAL AFFIDAVIT

I, ~~Elledd~~ Martin Arteaga, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Martin Arteaga
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

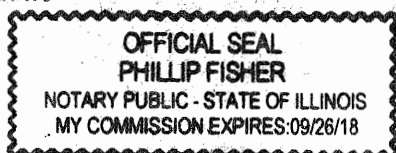
The committee was dormant after the election. The committee had a P.O. Box that expired and has not received any notice from the board of elections. We coincidentally contacted the board, hence our appeal.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 1 Day of April, 2016

Notary Public
(seal)



STATE BOARD OF ELECTIONS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Steven S. Sandvoss
March 1, 2016

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Friends of Martin Arteaga
Martin Arteaga, Rene Arteaga, Ricardo Beltran
PO Box 29074
Chicago, IL 60629

ID# 25890

7015 0640 0002 4567 2898

Dear Friends of Martin Arteaga:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 12/4/2015, 97 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 12/4/2015, 33 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2015 through December 31, 2015
Filing Period:	January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/20/2016, 2 day(s) late. As such, this committee has been assessed a fine of \$400.00.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

15 MQ 207

Riverdale 2015 Village Trustees 27293
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2015 Quarterly Report

The Report was received by the Board on July 22, 2015, 68 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, paid) for delinquently filing the December 2014 Quarterly Report; and a \$700 civil penalty (not appealed, paid) for delinquently filing the June 2015 Quarterly Report. The total assessment is \$5,000.

The Respondent was represented by attorney Matthew Welch at the May 16th appeal hearing.

Mr. Welch requests that the Board accepts a settlement offer of 50% of the amount fined, or \$2,500. If the Board accepts this offer the Committee will pay the agreed upon amount of \$2,500, withdraw their appeal, and they will work on closing out the Committee and submitting a Final Report. Mr. Welch completed a Proposed Settlement Offer for Assessed Fines form that was presented to the Board at the May 16, 2016 Board meeting (attached).

If the Board is not willing to accept a settlement of \$2,500 the Committee's appeal is as follows:

Mr. Welch stated that the Committee filed the March 2015 Quarterly Report in a timely manner as it was filed on 4/15/15 on paper. He stated that 10 ILCS 5/9-28 does not institute a fine for this particular Section and he argues that the Board therefore does not have the jurisdiction to assess fines for violations of this Section. Mr. Welch stated that other Sections of the Act contain a fine structure however since this particular Section does not contain any language containing a fine structure the Board does not have the authority to assess fines for this Section. He stated the Board has created a fine structure in their Rules and Regulations however he argues the statute does not provide for a fine in these circumstances. Mr. Welch requests that the appeal be granted.

The Committee filed the March 2015 Quarterly Report on paper via fax at 9:22 PM on the last day to file, 4/15/15. The State Board of Elections sent a notice on 4/16/15 to the home of Lawrence Jackson, the Committee's chairman and treasurer, notifying him that the Committee was required to file-electronically and he had until 5/18/15 to re-file the report or the paper report would be considered as never having been filed as per Section 100.150. The State Board of Elections sent another notice to the Committee on 5/22/15 to the same address notifying them that they failed to re-file the report within the 30 day period and the paper filing was now considered as never having been filed. The Committee electronically filed the March 2015 Quarterly Report on 7/22/15. The State Board of Elections sent out an assessment letter via certified mail to the same address on 11/18/15 informing the Committee they were being fined \$5,000, they had 30 days to appeal, and if the Committee fails to appeal by 12/18/15 they would forfeit the right to contest the assessment. As per the Affidavit that was attached to the RESPONDENTS' MOTION TO RECONSIDER AND VACATE THE BOARD'S FINAL ORDER OF FEBRUARY 19, 2016 the

certified mail notice that was left by the post office was never received by either Mr. or Mrs. Jackson. The notice therefore went unclaimed and was returned to the State Board of Elections as unclaimed. The Committee was assessed a \$5,000 civil penalty on 2/17/16 by the Board and a Final Order was mailed out to the same address on 2/19/16 which the Committee received. The Committee through their attorney filed the RESPONDENTS' MOTION TO RECONSIDER AND VACATE THE BOARD'S FINAL ORDER OF FEBRUARY 19, 2016 and on 3/17/16 the Board vacated its Final Order of 2/17/16 and granted an appeal hearing. Mr. Welch essentially argued that the State Board of Elections does not have the authority to assess fines as per 10 ILCS 5/9-28 as this Section does not contain any penalties associated with failing to file reports electronically. However, I believe the statute does allow for the State Board of Elections to prescribe suitable rules and regulations to carry out the provisions of Article 9. Therefore, I believe the Board has the authority to develop and enforce the Rules and Regulations they prescribe such as Section 100.150 of the Rules and Regulations. I believe this Section allows the Board to consider the paper filing of the March 2015 Quarterly Report as never having been filed, and the civil penalty that is associated with this matter is therefore the result of the electronically filed report being late rather than the Respondents allegation that the Board has added a fine structure to the statute that does not already exist. I believe the State Board of Elections met its notification requirement contained within Section 100.150 of the Rules and Regulations by mailing the letter to Mr. Jackson's address and since the Committee failed to re-file the report by 5/18/15 I recommend the appeal be denied. If the Board accepts this recommendation the total assessment amount of \$5,000 will be due and owing. In relation to the settlement offer, I believe the offer does not appear to qualify as the ratio of fine/balance is greater than 50% and I recommend the settlement offer be denied. (As of 3/31/16, this Committee showed a funds available balance of \$3,446.42.)



Andy Nauman – Hearing Officer
May 27, 2016



Proposed Settlement Offer for Assessed Fines

Committee Name: Riverdale 2015 Village Trustees ID# 27293-04

Unpaid Fine Total: \$ 5,000⁰⁰ (F)

Settlement Offer: \$ 2,500⁰⁰ (S)

Offer equals 50 % of Fine Total
 $(S \div F) \times 100$

☐ A check for the settlement amount is attached. (If the settlement offer is rejected, the check will be returned.)

☐ I waive appearance at any hearing regarding this settlement offer.

Information from Committee's 4 most recent Quarterly Reports:

Ending Balance: \$ 3446.42 (B1) Total Receipts: \$ 0 (R1)

\$ 9872.68 (B2) \$ 1,000 (R2)

\$ 12,558.38 (B3) \$ 15,150 (R3)

\$ 1,990.58 (B4) \$ 2750 (R4)

Avg. Ending Balance: \$ 6,954.52 (AB)
 $(B1+B2+B3+B4) \div 4$

Avg. Total Receipts: \$ 4725 (AR)
 $(R1+R2+R3+R4) \div 4$

Ratio of fine/balance: 71.9 %
 $(F \div AB) \times 100$

Ratio of fine/receipts: 105.82 %
 $(F \div AR) \times 100$

Additional information or special circumstances:

Respondent intends to file its final report upon payment of the proposed settlement amount. Respondent will waive any appeal rights if settlement offer is accepted

Verification

I declare that this settlement offer has been examined by me and to the best of my knowledge and belief is true, accurate and complete. I understand that failure to complete all parts of this form may result in the offer being summarily rejected.

M. W. [Signature]
Signature of Committee's Chairman, Treasurer or Candidate

05/16/16
Date

Return to: State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704-4503

or fax to: 217-782-5959

State of Illinois)
County of Cook)

RECEIVED

APR 20 2016

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Riverdale 2015 Village Trustees

Respondent(s).

Case No. ISMQ 207

APPEAL AFFIDAVIT

I, Lawrence Jackson, the Chairman of the
(Name) (Chairman/Treasurer)

Riverdale 2015 Village Trustees

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

N/A - On March 17, 2016, the State Board of Elections vacated its Final Order of February 19,

2016, and granted a new hearing to the Committee on the allegations involving the filing of

the Committee's March Quarterly Report of Campaign Contributions and Expenditures

(January 1, 2015 through March 31, 2015). The Committee will present its defenses to those

allegations during the hearing process.

Signed and Sworn to by:

before me this 13 Day of April 2016
Notary Public

(Signature of Chairman/Treasurer)

ROSEMARIE SIKORSKI
Official Seal
Notary Public - State of Illinois
My Commission Expires Jan 8, 2020

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

November 18, 2015

ID# 27293

Riverdale 2015 Village Trustees
Lawrence L. Jackson
14501 S. Union Ave
Riverdale, IL 60827

7015 1730 0002 1035 5145

Dear Riverdale 2015 Village Trustees:

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on 7/22/2015, 2015, 68 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015, you forfeit the right to contest this assessment.**

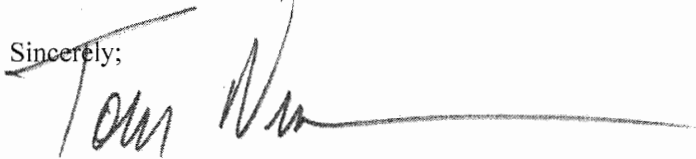
TOTAL AMOUNT NOW DUE

\$5000

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Laura Marbold at 217/782-1543.

Sincerely;


Tom Newman, Director
Campaign Disclosure Division

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

16 MA 001

Friends for Foxx 31640

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing

Of the Statement of Organization

Of the March 2015 Quarterly Report

And of Schedule A-1 Reports

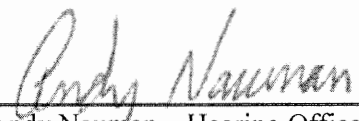
The Committee filed the Statement of Organization on June 4, 2015, 73 days late, resulting in a civil penalty assessment of \$3,650. The Board received the March 2015 Quarterly Report on 2/17/16, 73 days late, resulting in a civil penalty assessment of \$5,000. The Committee received a \$25,000 in-kind contribution on 2/3/15, and reported it on a Schedule A-1 Report received by the Board on 2/17/16, 251 days late, resulting in a civil penalty assessment of \$12,500. The Committee also received 10 contributions ranging from \$1,000 to \$5,400 on 9/26/15, and reported them on a Schedule A-1 report received by the Board on 10/5/15, 1 day late, resulting in a civil penalty assessment of \$19,100. The total assessment is \$40,250.

The Respondent was represented by attorney Michael J. Kasper and attorney Michael Kreloff at the May 13th appeal hearing.

Mr. Kreloff stated that the Board is already aware that they found Friends of Foxx should have considered a poll that was paid for by Preckwinkle for President as an in-kind contribution even though Ms. Foxx didn't realize this transaction was a contribution to her as she had no intention to run for public office and she was not a candidate when the poll was conducted. Mr. Kreloff stated that shortly after a column appeared in the Chicago Sun Times alleging a possible Campaign Disclosure violation had been made he came into the State Board of Elections office and talked to Ken Menzel and Tom Newman regarding this situation and to discuss what steps were needed to rectify the matter. However, prior to being able to complete this task a D-4 was filed regarding the matter. The Respondent requests the Board take into consideration their own Hearing Officer's Recommendation that any assessment of fines should take into consideration the good faith effort of the candidate to address the matter prior to the D-4 Complaint being filed and the unique factual situation of this matter. Mr. Kasper stated that one transaction triggered three violations and it is unfair to be fined three times for the same transaction. Mr. Kasper stated that he believes it would be fair to break this down into two principle issues. The first issue is the failure to file the D-1 in a timely manner in which he believes it is appropriate to stay the civil penalty as the Board typically does for a committee's first violation. Then he believes the second issue is in relation to the 10 contributions that were all received and reported on the same day which were only reported one day late on a Schedule A-1. Mr. Kasper stated that since all of the contributions were on the same day they should be considered as one transaction as well. Mr. Kreloff added in that the contributions were all reported before the election and that there was no intent to hide the contributions or not file them in a timely manner. Mr. Kasper went on further to say that the Board would ordinarily stay the 10 Schedule A-1

violations if they were a 1st violation therefore he requests that the violations be considered a first violation and the civil penalty be reduced to 10% of the original penalty.

The Respondent is essentially requesting that the Friends of Foxx be assessed a single penalty rather than three penalties in relation to the \$25,000 in-kind contribution as the one transaction caused three separate civil penalties (delinquent D-1, delinquent quarterly report, and a delinquent Schedule A-1 report) and that the violation be stayed as a first offense. The Respondent also requests that the other 10 schedule A-1 violations be considered as one violation (as they were all deposited and reported on a single Schedule A-1 Report) and that the civil penalty be reduced to 10% of the original assessment while keeping the stay in place on the violation concerning the Statement of Organization. I recommend that Respondents request and the appeal be denied for lack of an adequate defense. I believe the statute does not provide for the Board to reduce a penalty associated with a Statement of Organization or a Quarterly Report. However, I do believe the Board does have some discretion on imposing a fine amount in relation to negligent or inadvertent Schedule A-1 violations. I believe the Board also has some discretion on placing stays on civil penalties however I do not believe the circumstances involved in this matter would fall under the typical stay the Board has given in the past but this is not a typical case either. I believe the statute requires that the Committee be assessed for 3 separate violations in relation to the failure to account for the \$25,000 in-kind contribution even when the 3 violations stem from a single transaction. The statute provides for a civil penalty of \$50 per business day for the delinquent filing of a Statement of Organization and I recommend the Committee be assessed a fine of \$3,650 for the delinquent filing of this report. The statute provides for a civil penalty of \$50 per business day for a Quarterly Report that contains more than \$5,000 in receipts and I recommend the Committee be assessed a fine of \$5,000 for the delinquent filing of the March 2015 Quarterly Report. Since there is no indication the \$25,000 in-kind contribution Schedule A-1 violation was anything other than inadvertent and unintentional, and since this is the first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment or \$1,250. In relation to the other 10 delinquent Schedule A-1 violations I also recommend the appeal be denied for lack of an adequate defense. However, since these violations took place prior to when the Committee received notification from the Board of the first Schedule A-1 violation I recommend these violations be counted together as a first time violation and they be reduced to 10% of the original assessment as well since there is no indication theses violations were anything other than inadvertent and unintentional. An assessment reduced to 10% of the original assessment is \$1,910. If these recommendations are accepted by the Board the total assessment of \$11,810 will be due and owing. (As of 3/31/16, this Committee reported a funds available balance of \$21,251.80.)


Andy Nauman – Hearing Officer
May 26, 2016

STATE BOARD OF ELECTIONS

2016 MAR 21 PM 12:00

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 16 MA001

Friends for Foxx

Respondent(s):

APPEAL AFFIDAVIT

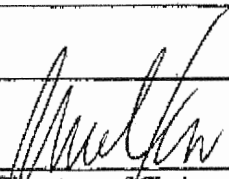
I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)

Friends for Foxx

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.


Signature of Chairman/Treasurer
Attorney

Signed and Sworn to by:

Michael J. Kasper
before me this 21st Day of March, 2016

Notary Public
(seal)

OFFICIAL SEAL
DORENE M EGAN

NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 03/15/18

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 25, 2016

ID# 31640

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Friends for Foxx
PO Box 124
Flossmoor, IL 60422

7015 0640 0002 4567 2690

Dear Friends for Foxx:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on June 4, 2015, 73day(s) late. As such, this committee has been assessed a fine of \$3650.

In addition, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 17, 2016, 208 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Preckwinkle for President	2/3/2015	\$25000	2/17/2016	251	\$12500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$12500.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1250.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Dolla Crater	9/26/2015	\$5000	10/5/2015	1	\$2500 -
Craig Duchossois	9/26/2015	\$2500	10/5/2015	1	\$1250 -
Sarah Duncan	9/26/2015	\$1000	10/5/2015	1	\$500 -
King Harris	9/26/2015	\$5000	10/5/2015	1	\$2500 -
Stephen King	9/26/2015	\$5400	10/5/2015	1	\$2700 -
Medley's Self Storage	9/26/2015	\$1000	10/5/2015	1	\$1000 -
James Montgomery	9/26/2015	\$5400	10/5/2015	1	\$2700 -
John Rowe	9/26/2015	\$5400	10/5/2015	1	\$2700 -
Kurt Summers	9/26/2015	\$2500	10/5/2015	1	\$1250 -
Joseph Zurad	9/26/2015	\$5000	10/5/2015	1	\$2500 -

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$19100.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$9550.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

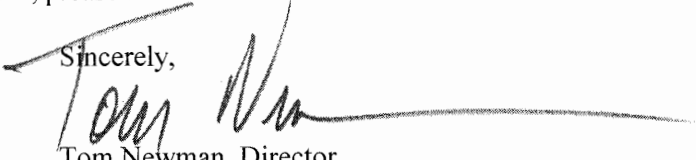
The total for all new assessments is \$19,450.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 25, 2016 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In the Matter of:

Amy Rita,)	
Complainant,)	
)	
vs.)	15 CD 107
)	
Oak Brook for Natalie Cappetta,)	
Respondent(s).)	

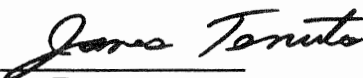
NOTICE

To: Steve Sandvoss, Executive Director
Kenneth R. Menzel, General Counsel
Amy Rita, Complainant, via email to: Amysmac@comcast.net
Adrian Vukovich, Respondent, via email to: AV@cb-law.com

Please be advised that on May 24, 2016, I caused to be served on the parties by the method set forth above the **Recommendation of the Hearing Officer to the General Counsel Following Public Hearing.**

The parties are advised this matter will be addressed at the regularly scheduled board meeting of the State Board of Elections in the James R. Thompson Center, 100 West Randolph St., in the Capital Development Board's Conference Room 14-612, Chicago, Illinois 60601 on **Monday, June 13, 2016 at 10:30 a.m.** Attendance in Chicago requires production of government issued identification and security screening - please allow for extra time. Parties may also attend by video conference at the Board's principal office at 2329 S. MacArthur Blvd., Springfield, Illinois.

DATED: May 24, 2016


James Tenuto
Hearing Officer

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita,)	
Complainant,)	
)	
vs.)	15 CD 107
)	
Oak Brook For Natalie Cappetta,)	
Respondent(s).)	

**RECOMMENDATION OF THE HEARING OFFICER
TO THE GENERAL COUNSEL
FOLLOWING PUBLIC HEARING**

I. Allegations

The pending D-4 Complaint was filed on November 6, 2015, by Amy Rita (Complainant) against Oak Brook for Natalie Cappetta (Respondents). Therein, it is alleged the Respondent violated Sections 10 ILCS 5/9(16), by failing to report debts due and owing to three vendors that provided goods and services during Respondent's 2015 campaign for Mayor in the Village of Oak Brook. The three debts in question are the following:

1. \$3,750.00 due to Dolfin, Inc.;
2. \$600.00 due to Elect, Inc.; and
3. \$450.00 due to 34 Publishing Inc.

II. Closed Preliminary Hearing

At the Closed Preliminary Hearing, Amy Rita, the President of 34 Publishing, was the only party present. She initially verbally amended the complaint to be a violation of 9-11(a) (16). Ms. Rita submitted a *pro se* appearance and provided information as to 34 Publishing along with invoices from two other vendors that should have been reported as debts and obligations.

The Board issued an Order on March 17, 2016, that a public hearing be conducted.

III. Public Hearing

The public hearing was held on May 4, 2016. Amy Rita filed a *pro se* appearance and was the only person who testified on behalf of the Complainant. Adrian Vuckovich of Collins, Bargione & Vuckovich appeared on behalf of the Respondent. Mr. Fred Cappetta, an attorney and father of Natalie Cappetta, testified on behalf of the Respondent.

Initially, State's Group Exhibit No. 1, a copy of the D-4 Complaint, Notice and Summons, Notice of Appointment of Hearing Officer and Notice of Public Hearing, was introduced by the Hearing Officer.

A. Complainant's Case-in-Chief

Complainant contends the Respondent did not report the following as debts and obligations in either the third quarter or fourth quarter reports filed in 2015:

1. \$3,750.00 to Dolfin, Inc., of Forest Park, IL.;
2. \$600.00 to Elect Inc., of Elmhurst, IL; and
3. \$450.00 to 34 Publishing of Forest Park, IL.

Amy Rita, President of 34 Publishing, was not allowed to testify on behalf of Dolfin, Inc., and Elect, Inc., because she had no ownership interest in either company. Ms. Rita was allowed to proceed on behalf of 34 Publishing, Inc., over the objection of the Respondent that an attorney is the only person who can represent a corporation.

The following two Exhibits, which had been introduced at the closed preliminary hearing, were also submitted at the public hearing:

1. Complainant Exhibit A:

<u>Invoice</u>	<u>Date</u>	<u>Amount</u>
34534	12-30-14	\$350.00
34546	1-21-15	425.00
34547	1-21-15	350.00
34548	1-21-15	350.00
34567	2-19-15	600.00
34588	3-17-15	470.00
34594	3-25-15	530.00
34601	3-27-15	350.00

The above are invoices from 34 Publishing, Inc., to the Respondent that were all paid.

Exhibit A is submitted to demonstrate the existence of a business relationship between Complainant and Respondent.

2. Complainant Exhibit B:

Invoice #34617, dated 4-3-15, from Complainant to Respondent in the amount of \$450.00. This invoice is unpaid and is the subject of the dispute between the parties.

Amy Rita testified she prepared a brochure, entitled "Cappetta Secrets MP", at the request of the Respondent. She stated she was not paid and never told the reason. She recalls speaking with the Candidate and Candidate's father but was unsure who placed the order. She understands the Board cannot order payment, but believes the committee should have reported the obligation on both its third and fourth quarter reports in 2015.

B. Respondent's Case-in-Chief

Fred Cappetta, the Candidate's father, testified on behalf of the Respondent. He stated he has been an attorney for 50 years and was active in his daughter's committee. Cappetta does not recall speaking with Amy Rita. He would usually discuss strategy with Tom Mannix, a political consultant hired by Respondent. Mr. Cappetta, after discussing with Tom Mannix,

would authorize the disbursement of funds. He does recall reviewing and authorizing the invoices reflected in Exhibit A. As funds were dwindling toward the end of the campaign Mr. Cappetta was more selective in ordering brochures, campaign materials, etc. He has no recollection of ordering the brochure which is the source of the disputed amount as set forth in Exhibit B.

Respondents introduced Respondent's Exhibit #1, an invoice dated 4-1-15, paid to "Yes Press" on April 3, 2015, in the amount of \$3,620.00 by check number 1066. The invoices referenced "4-Color SECRETS/2 sided Card" which is the same reference on invoice #34617. This Exhibit is introduced to prove any mailings with the reference "SECRETS" have already been paid in full.

Respondent's attorney contends the Board can determine if violations of election law exist. The Board, however, is not authorized to determine whether or not a disputed claim is a debt. Furthermore, to be a debt, the amount must either be acknowledged by the debtor or adjudicated to be a judgment.

All Exhibits are attached to this Recommendation.

IV. Discussion

It is clear a dispute exists as to whether or not the \$450.00 is owed by the Respondent to the Complainant. To be a debt, the amount must be either acknowledged or adjudicated. If the disputed amount is determined to be a debt, it must be reported by the Respondent on the appropriate schedule.

Complainant Exhibit A, Invoices paid by Oak Brook for Natalie Cappetta to 34 Publishing, Inc., were submitted to establish a business relationship existed between Complainant and Respondent. Conversely, it can be argued that the Respondent, who had a history of paying his invoices submitted by the Complainant, would have paid if it truly believed it

a legitimate obligation existed. Respondent's Exhibit #1, the invoice in the amount of \$3,620.00 is consistent with the testimony of Respondent that all obligations related to "MP SECRETS" had, in his mind, been addressed.

Whether the \$450.00 sought by the Complainant is a legitimate debt is beyond the scope of the Board's authority. If the \$450.00 is a legitimate debt, as acknowledged by the committee or so adjudicated by a court, then it should be reported.

The \$450.00 claim is neither acknowledged by the Respondent nor has it been adjudicated as a debt by a court. It is better characterized as a disputed claim. Thus, there is no obligation imposed upon the Respondent to list this as a debt on its reports.

The Recommendation of the Hearing Officer is that Respondent file a letter of explanation with its reports acknowledging awareness of the \$450.00 claim which is not being reported as a debt because it is a disputed claim.

This is a matter best addressed in small claims court.

V. FINDINGS

1. Oak Brook for Natalie Cappetta is an existing candidate political committee.
2. The D-4 Complaint filed on November 6, 2015, seeks to Respondent report the following as debts:
 - a. \$3,750.00 to Dolfin, Inc., of Forest Park, IL.;
 - b. \$600.00 to Elect, Inc., of Elmhurst, IL; and
 - c. \$450.00 to 34 Publishing of Forest Park, IL.
3. Amy Rita is the President of 34 Publishing, Inc. and was the only one who appeared on behalf of the Complainant. Thus, only the claim by 34 Publishing, Inc., was addressed at the public hearing.

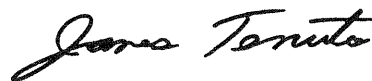
4. Complainant's Exhibit #1 shows a business relationship between Complainant and Respondent.
5. Complainant submitted Exhibit #2 as proof of the \$450.00 claim which should have been reported as a debt.
6. To qualify as a debt subject to reporting by the Respondent, the debt must either be acknowledged by the Respondent or adjudicated by a court as a debt.
7. The State Board of Elections cannot determine if a debt exists.
8. If a debt is either acknowledged by a political committee or adjudicated a debt by a court, the State Board of Elections can order the Respondent to list the debt on its reports.
9. The \$450.00 claimed to be owed to the Complainant by the Respondent is a disputed claim and not a debt that should be reported.

VIII. RECOMMENDATION

It is the **Recommendation of the Hearing Officer to the General Counsel following Public Hearing** that the Respondent file a letter of explanation indicating awareness of the \$450.00 claimed by the Complainant. However, the \$450.00 is not being reported by the Respondent because it is viewed as a disputed claim rather than a debt.

No further action be taken by the State Board of Elections.

Respectfully submitted,



James Tenuto
Hearing Officer

DATED: May 24, 2016



FORM

D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

AMY RITA
7442 W. MADISON ST.
FOREST PARK, IL 60130

vs.

No. 15CD107

NAME AND ADDRESS OF RESPONDENT:

OAK BROOK FOR NATALIE CAPPETTA
1900 S. SPRING ROAD
OAK BROOK, IL 60523CHICAGO
15 NOV - 6 PM 3:39
STATE BOARD OF ELECTIONSSECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE
STATE BOARD OF ELECTIONS ? ☒ Yes ☐ NoSECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9,
ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND
REFER TO THIS SECTION.)SEE ATTACHEDSECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN
SHEETS IF NECESSARY AND REFER TO THIS SECTION.)SEE ATTACHED.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN
EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS
REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A
FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN
THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.10-28-15.

DATE

Amy Rita, Secretary
SIGNATURE OF COMPLAINANT(IF COMPLAINANT IS A CORPORATION THEN
VERIFICATION MUST BE SIGNED BY AN AUTHORIZED
OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, AMY RITA HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE 20 DAY OF 20 AT 0 O'CLOCK 0 M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE). OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 31 DAY OF OCTOBER 2015 AT THE MAIL BOX OR POSTAL STATION LOCATED AT: 433 W. HARRISON

x Amy Rita
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 28 DAY OF OCTOBER 2015



[Signature]
NOTARY PUBLIC

INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS COMPILED STATUTES, CHAPTER 10, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.
3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, ILLINOIS 62704-4503

STATE BOARD OF ELECTIONS
STE 14-100
100 W RANDOLPH ST
CHICAGO, IL 60601-3232

APPENDIX A

In violation of 10 ILCS 5/9 (16), Oak Brook For Natalie Cappetta failed to report debts due and owing to three vendors that provided goods and services for the campaign, including \$3,750.00 due to Dolfin, Inc. of Forest Park, Illinois, \$600.00 due to Elect Inc. of Elmhurst, Illinois and \$450.00 due 34 Publishing Inc. of Forest Park, Illinois.

Copies of all invoices previously provided to Oak Brook for Natalie Cappetta and acknowledged as due and owing by same are attached.

Failure to report the debts due and owing were omitted from the 2nd and 3rd quarter filings of Oak Brook for Natalie Cappetta.



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34617
Date: 4-3-15

Oak Brook for Natalie Cappetta

Cappetta Secrets MP	\$350
Changes	\$100

Amount Due \$450

ELECT, Inc.

P.O. Box 2
Elmhurst, IL 60126
773-281-3900

Invoice

DATE	INVOICE NO.
2/12/2015	2782

BILL TO
Oak Brook for Natalie Cappetta

SHIP TO

TERMS	REP

QTY	ITEM	DESCRIPTION	RATE	AMOUNT
	Misc.	Enhanced voter file for Oak Brook	600.00	600.00
		Sales Tax	9.25%	0.00
			Total	\$600.00

Dolphin, Inc.
112 Rockford – Forest Park, Illinois 60130

March 2, 2015

Cappetta For Mayor
1900 Spring Road
Oak Brook, Illinois 60532

INVOICE For Professional Services

For Political Consulting Services:

January 1, 2015 through January 31, 2015

February 1, 2015 through February 28, 2015

March 1, 2015 through April 7, 2015

~~\$ 3,000.00~~

PAID

~~\$ 3,000.00~~

PAID

\$ 3,750.00

DUE

Total Due \$ 9,750.00

Please make check payable to Dolphin, Inc.

Federal Tax ID Number: 45-3916097

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita)
)
Complainant(s))
)
Vs.) Board File#: 15 CD107
)
Oak Brook for Natalie Cappetta)
Respondent(s))
)

NOTICE OF PUBLIC HEARING

7015 0640 0002 4567 3284

TO: Amy Rita
7442 W. Madison Street
Forest Park, IL 60130

Oak Brook for Natalie Cappetta
1900 S. Spring Road
Oak Brook, IL 60523

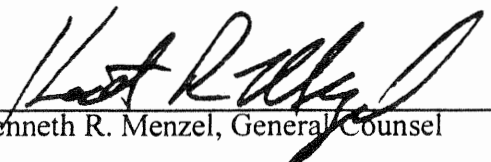
7015 0640 0002 4567 3291

Please take notice that in accordance with the Order of the State Board of Elections, dated and entered on and pursuant to the provisions of "An Act to Regulate Campaign Financing" (10 ILCS 5/9-1 et seq.) and Rules and Regulations adopted pursuant thereto, and by the power vested in me, the Public Hearing to be conducted in the above captioned matter is set for April 18, 2016, at 10:00 AM, at the State Board of Elections, James R. Thompson Center, 100 West Randolph, Suite 14-100, Chicago, Illinois.

The parties have the right to be represented by legal counsel in any proceeding conducted by the State Board of Elections.

Any party involved in any proceeding has the right to the presence and participation of additional persons, in addition to, or instead of an attorney, in order to provide technical assistance and consultation.

DATED: April 11, 2016



Kenneth R. Menzel, General Counsel

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita

Complainant(s)

Vs.

Board File#: 15 CD107

Oak Brook for Natalie Cappetta

Respondent(s)

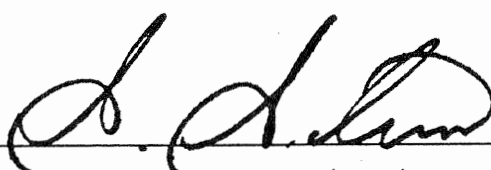
NOTICE OF APPOINTMENT OF HEARING OFFICER

TO: Amy Rita	Oak Brook for Natalie Cappetta
7442 W. Madison Street	1900 S. Spring Road
Forest Park, IL 60130	Oak Brook, IL 60523

You are hereby notified that pursuant to the provisions of "An Act to Regulate Campaign Financing" (10 ILCS 5/9-1 et. seq.) and Rules and Regulations adopted pursuant thereto, the following person is hereby appointed Hearing Officer to conduct a Public Hearing concerning the above-captioned matter, such hearing to be conducted at such time and place as shall be designated by the Hearing Officer upon separate notice:

NAME:	Jim Tenuto
ADDRESS:	100 W Randolph, Suite 14-100
CITY/STATE:	Chicago, IL
TELEPHONE:	312/814-6440

DATED: April 11, 2016



Steven S. Sandvoss, Executive Director

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita)
Complainant(s))

Vs.) Board File#: 15 CD107
)

Oak Brook for Natalie Cappetta)
Respondent(s))
)

NOTICE OF PUBLIC HEARING

TO: Amy Rita
7442 W. Madison Street
Forest Park, IL 60130

Oak Brook for Natalie Cappetta
1900 S. Spring Road
Oak Brook, IL 60523

7015 1730 0002 1035 2274

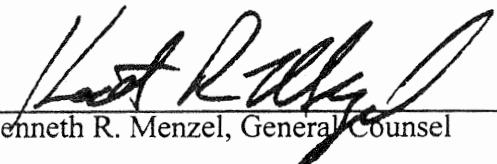
7015 1730 0002 1035 2281

Please take notice that in accordance with the Order of the State Board of Elections, dated and entered on and pursuant to the provisions of "An Act to Regulate Campaign Financing" (10 ILCS 5/9-1 et seq.) and Rules and Regulations adopted pursuant thereto, and by the power vested in me, the Public Hearing to be conducted in the above captioned matter is set for April 18, 2016, at 10:00 AM, at the State Board of Elections, James R. Thompson Center, 100 West Randolph, Suite 14-100, Chicago, Illinois.

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Any party involved in any proceeding has the right to the presence and participation of additional persons, in addition to, or instead of an attorney, in order to provide technical assistance and consultation.

DATED: March 22, 2016


Kenneth R. Menzel, General Counsel

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita)

Complainant(s))

Vs.)

Board File#: 15 CD107)

Oak Brook for Natalie Cappetta)

Respondent(s))

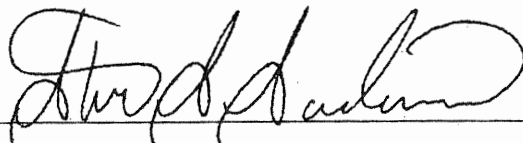
NOTICE OF APPOINTMENT OF HEARING OFFICER

TO: Amy Rita	Oak Brook for Natalie Cappetta
7442 W. Madison Street	1900 S. Spring Road
Forest Park, IL 60130	Oak Brook, IL 60523

You are hereby notified that pursuant to the provisions of "An Act to Regulate Campaign Financing" (10 ILCS 5/9-1 et. seq.) and Rules and Regulations adopted pursuant thereto, the following person is hereby appointed Hearing Officer to conduct a Public Hearing concerning the above-captioned matter, such hearing to be conducted at such time and place as shall be designated by the Hearing Officer upon separate notice:

NAME:	Andy Nauman
ADDRESS:	100 W Randolph, Suite 14-100
CITY/STATE:	Chicago, IL
TELEPHONE:	312/814-6440

DATED: March 22, 2016



Steven S. Sandvoss, Executive Director

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita)

Complainant(s))

Vs.)

Board File#: 15 CD107)

Oak Brook for Natalie Cappetta)

Respondent(s))

NOTICE OF PUBLIC HEARING

7015 0640 0002 4567 3284

TO: Amy Rita
7442 W. Madison Street
Forest Park, IL 60130

Oak Brook for Natalie Cappetta
1900 S. Spring Road
Oak Brook, IL 60523

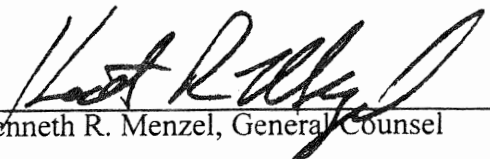
7015 0640 0002 4567 3291

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DATED: April 11, 2016


Kenneth R. Menzel, General Counsel

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Amy Rita

Complainant(s)

Vs.

Board File#: 15 CD107

Oak Brook for Natalie Cappetta

Respondent(s)

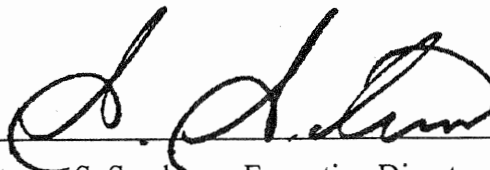
NOTICE OF APPOINTMENT OF HEARING OFFICER

TO: Amy Rita	Oak Brook for Natalie Cappetta
7442 W. Madison Street	1900 S. Spring Road
Forest Park, IL 60130	Oak Brook, IL 60523

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NAME:	Jim Tenuto
ADDRESS:	100 W Randolph, Suite 14-100
CITY/STATE:	Chicago, IL
TELEPHONE:	312/814-6440

DATED: April 11, 2016



Steven S. Sandvoss, Executive Director

Group Exhibit A



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34534

Date: 12-30-14

Friends Of Natalie Cappetta

Design Christmas Card MP \$350

Pd 2/19/15

Amount Due \$350

Phone 708-366-8947 • Fax 708-366-8957

Dear Friends,

I am thankful for a wonderful holiday season and wish you a most prosperous New Year. I am hopeful that the New Year will find your family healthy, safe and secure.

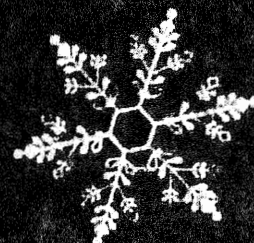
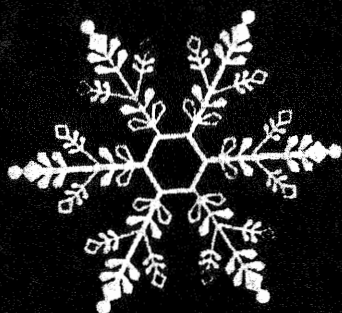
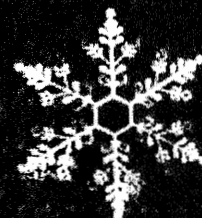
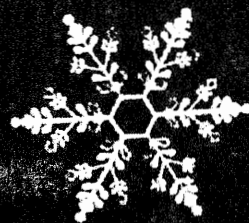
Toward that end, I hope to lead a new effort in our Village Hall to ensure financial accountability, efficiency, and zoning foresight. I will work to establish an effective plan for our future which protects the standards we have worked so hard to maintain in Oak Brook.

Thank you for your participation in promoting responsible candidates for Oak Brook and helping select our future governmental officials.

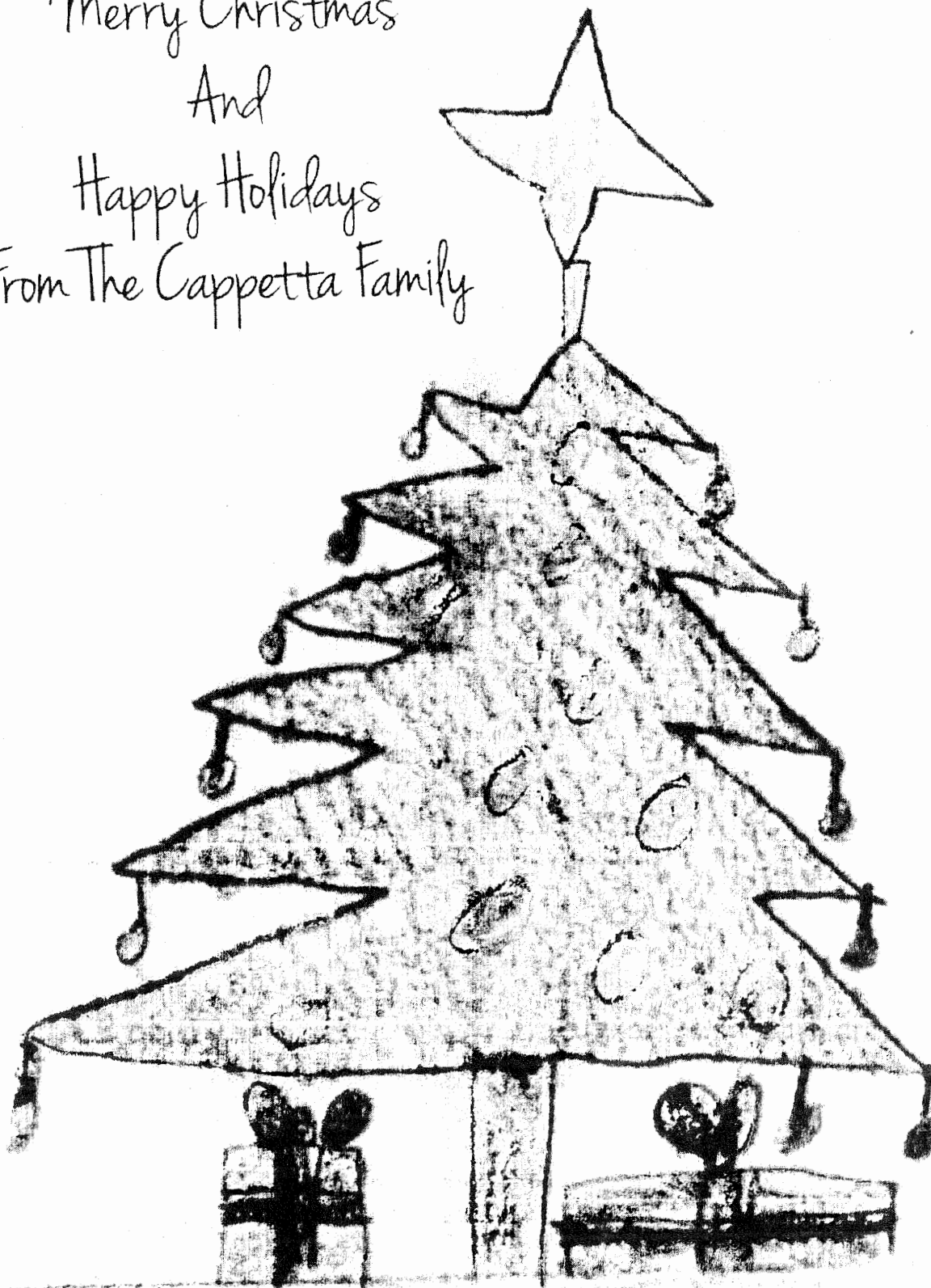
Best Wishes,

Natalie Cappetta

Natalie Cappetta
Oak Brook Village President Candidate



Merry Christmas
And
Happy Holidays
From The Cappetta Family



Artwork hand drawn by Matthew Cappetta - proud 2nd grader and nephew of Natalie Cappetta.



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34546
Date: 1-21-15

Oak Brook for Natalie Cappetta

Walk Card Design	\$350
Design Changes	\$ 75

Pd 3/11/15

Amount Due \$425

Phone 708-366-8947 • Fax 708-366-8957

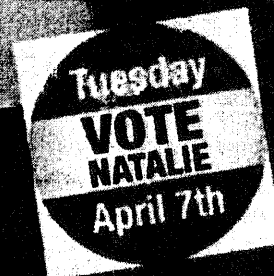
Decisive Action Is Needed

✓ I Will Straighten
Out Our
Finances

✓ I Will Modernize
Our
Community
Planning.

✓ I Will Fight for NO
Property Taxes for
our Citizens

Natalie



★
NATALIE
Cappetta

for Oak Brook Village President

www.natalieforoakbrook.com



Background

- Oak Brook Zoning Board Of Appeals Member
- Oak Brook Planned Development Commission Member
- Attorney at Law
Cappetta & Associates
- Illinois State Bar Association Member
- Fullersburg Woods Homeowners Association Board Member (former)
- St. Isaac Jogues Parishioner
- Benedictine University
— B.B.A.
- John Marshall Law School
— J.D.
- Hinsdale Central High School
- Butler Grade School

Decisive Action Is Needed

For too long, our village government has been coasting on the success of past decades. We need to:

✓ *Plan for our financial future – Oak Brook government has hidden structural financial problems just like the State of Illinois, but no one is paying attention!*

✓ *Plan for developmental growth in the next 20 years – Oak Brook will continue to experience redevelopment in our commercial & residential areas, but our zoning code and comprehensive are not up to date!*

✓ *Plan for a financial future WITHOUT taxes – Oak Brook is on the path to a Village property tax but no one is paying attention!*

I will lead the Village and correct our financial course, improve zoning codes to protect our property values, and prevent the need for a Village property tax.

Elect Natalie!

www.natalieforoakbrook.com

Paid For By Oak Brook For Natalie Cappetta

Don and Arlene Adler
invite you to meet
Natalie Cappetta, candidate for Village President
Village Trustee candidates
Edward Tiesenga, Charles Thompson and Michael Wencel

Thursday, March 26, 2015

7 p.m. to 9 p.m.

30 Windsor Drive
Oak Brook, IL 60523

Refreshments will be served.

Please RSVP to 630-649-4718

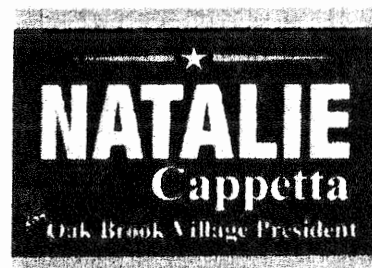
Natalie Cappetta

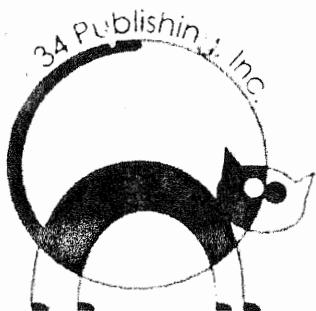
for Oak Brook Village President



www.natalieforoakbrook.com

Paid For By Oak Brook For Natalie Cappetta





7442 W. Madison St.
Forest Park, IL 60130

Invoice #34547
Date: 1-21-15

Oak Brook for Natalie Cappetta

Logos Design \$150

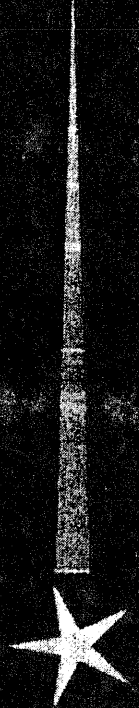
Business Card Design \$100
Changes \$ 50

Letterhead Design \$ 50

pd 2/19/15

Amount Due \$350

Phone 708-366-8947 • Fax 708-366-8957



NATALIE

Cappetta

for **Oak Brook Village President**

Paul For By Our Blog For Natalie Cappetta



Friends For Cappetta
1900 Spring Road, Suite 102, Oak Brook, Illinois 60523

Email Address ? Phone # ?

www.natalieforoakbrook.com

A copy of our report filed with the IL State Board of Elections is (or will be) available on the Board's official website (<http://www.elections.ilinois.gov/>) or for purchase from the State Board of Elections, Springfield, IL.

NATALIE Cappetta For President

1900 Spring Road — Suite 102
Oak Brook, Illinois 60523

**Natalie Will Win With Your Support.
Vote To Improve Oak Brook On April 7, 2015.**
On The Web: www.natalieforoakbrook.com
Email: info@natalieforoakbrook.com

Paid for By Oak Brook for Natalie Cappetta

NATALIE Cappetta For Oak Brook Village President

Name: _____

Address: _____

Home Phone: _____ Cell Phone: _____

Email Address: _____

I would like to help NATALIE by:

- | | |
|---|---|
| <input type="checkbox"/> List Me As A Supporter | <input type="checkbox"/> Displaying A Lawn Sign |
| <input type="checkbox"/> Walking Door To Door | <input type="checkbox"/> Emailing My Friends |
| <input type="checkbox"/> Mailing Postcards To Friends | <input type="checkbox"/> Hosting A Coffee |
| <input type="checkbox"/> Helping On Election Day | <input type="checkbox"/> Making A \$ _____ Donation |

_____ Your Initials

Donor/Volunteer Card

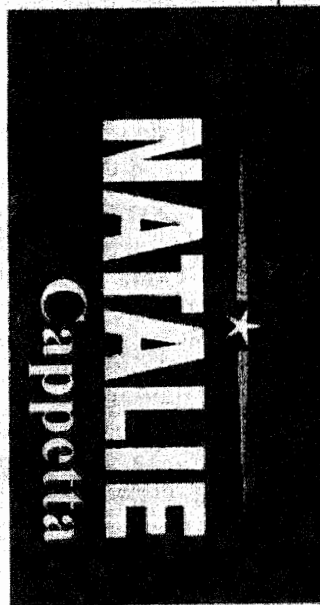
Natalie Cappetta
For Village President

c. (630) 234-7155
info@natalieforoakbrook.com
www.natalieforoakbrook.com

1910 Spring Road, Suite 102
Oak Brook, Illinois 60523

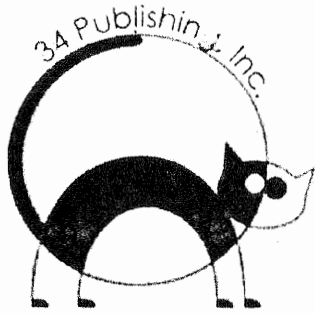


10th Oak Brook Village President



Vote April 7, 2015

Paid For By Oak Brook 10th Natalie Cappetta



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34548
Date: 1-21-15

Oak Brook for Natalie Cappetta

Cappetta Meet Natalie Design \$350

Pd 2/19/15

Amount Due \$350

Phone 708-366-8947 • Fax 708-366-8957



Natalie is sworn in by Chief Justice Thomas

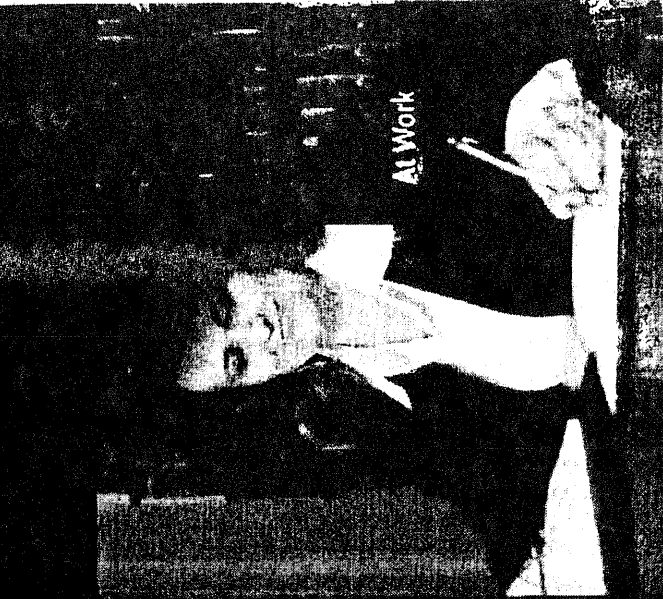


Hirshel Central Graduation

Meet Natalie Cappetta...



With Family



At Work

Benedictine University Graduation

WEBSITE:
www.natalieforoakbrook.com

E-MAIL:
info@natalieforoakbrook.com
PHONE: 630-234-7155

For Election Information
And Mail-In-Ballot Applications:

www.dupageco.org

Natalie is a successful businesswoman and attorney who has contributed to her hometown of Oak Brook by serving on both the Oak Brook Zoning Board of Appeals and the Oak Brook Planned Development Commission.

So why does she want to contribute unfold hours each week as our Village President when the Village of Oak Brook is \$10 million in debt and has \$37 million in unrecorded unfunded pension liability?

Because Natalie lives by the words of President Eisenhower — “*Planning is everything*”.

Natalie Cappetta believes we all have an ongoing responsibility to look out for each other in addition to looking out for ourselves and our families. Natalie knows that the Oak Brook of today was created, planned and developed by visionary planners who meticulously executed their work. She also sees that current village officials have continued to coast on the success of the past early decades of its existence and have stopped the fundamental fiscal and community development planning needed for Oak Brook to remain the premiere residential and commercial community of DuPage County.

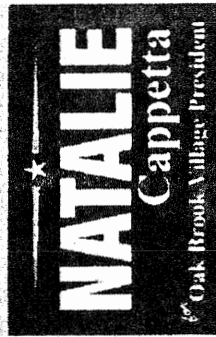
Natalie wants to use her community planning experience, her legal and business leadership skills, and her knowledge from serving on our local planning and zoning bodies to prevent Oak Brook from deteriorating like other communities who are failing economically. The last thing anyone in Oak Brook wants is a property tax because we are falling asleep at the switch.

**Oak Brook needs experienced and no-nonsense leadership.
Oak Brook needs Natalie Cappetta as our Village President
to get our affairs under control.**

**Natalie is not just another alternative.
She is the right choice to lead us.**

Natalie Cappetta For Oak Brook Village President Vote Tuesday April 7, 2015.

Paid For By Oak Brook For Natalie Cappetta





7442 W. Madison St.
Forest Park, IL 60130

Invoice #34567
Date: 2-19-15

Oak Brook For Natalie Cappetta

Design for Cappetta Quiz Insert	\$350
Design for Cappetta Friend to Friend	\$250

Ad
3/11/15

Amount Due \$600

Phone 708-366-8947 • Fax 708-366-8957

Will you spend a moment getting to know me?

Oak Brook Zoning Board Of Appeals Member

Oak Brook Planned Development Commission
Member

Attorney at Law Cappetta & Associates

Illinois State Bar Association Member

Fullersburg Woods Homeowners Association Board
Member (former)

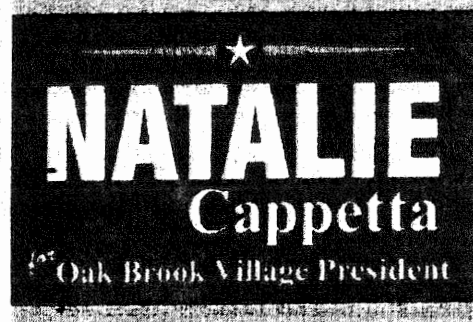
St. Isaac Jogues Parishioner

Benedictine University – B.B.A.

John Marshall Law School – J.D.

Hinsdale Central High School

Butler Grade School



TEST

Here is a brief test to help get you to know Natalie Cappetta. Since Natalie is running to be the next Village President, it's important that you get to know her.

Q1) Natalie Cappetta has lived in Oak Brook with her Family since she was a little girl.

Correct Answer - True

Circle only one answer: True False

Q2) Natalie manages a law practice located right here in Oak Brook.

Correct Answer - True

Circle only one answer: True False

Q3) Natalie serves on the Oak Brook Zoning Board and the Planned Unit Development Board.

Correct Answer - True

Circle only one answer: True False

Q4) Natalie believes that Oak Brook traffic signals need to be updated so people aren't stuck at red lights needlessly.

Correct Answer - True

Circle only one answer: True False

Q5) There is no municipal property tax in Oak Brook and Natalie will fight to make sure one is never enacted.

Correct Answer - TRUE - Oak Brook is one of a few communities in Illinois that does not impose a municipal property tax

Circle only one answer: True False

Q6) Voting for Natalie Cappetta in the April 7th Election is the best way for everyone to make sure Oak Brook remains a great place to live, work, play, shop and raise a family.

Correct Answer - True

Circle only one answer: True False

How did you score? If you got all the answers correct, perhaps you should consider joining Natalie Cappetta as she works to protect the community we love, visit www.natalieforoakbrook.com for more information about how to get involved,

Natalie Cappetta

for Oak Brook
Village President

NATALIE
Cappetta
8th Oak Brook Village President

Vote April 7th • Make Your Vote Count

PLACE
STAMP
HERE

Dear _____,

Next Tuesday we have the opportunity to select an outstanding public servant to be the next Village President of Oak Brook — Natalie Cappetta.

Natalie grew up in Oak Brook as our community grew and prospered. She remembers the small charming village that our founders worked so hard to preserve in anticipation of the inevitable economic and residential development, but is worried about the future. Our current officials are coasting on the plans of past leaders and ignoring our current financial problems, including \$37 million in unfunded liabilities. Natalie wants to sue her experience in the law, finance, zoning and planning to get us back on the right track.

Please take the time to vote for my friend Natalie. I know Natalie will be a great Village President, just as she has been a great friend. The polls are open from 6 AM to 7 PM on Tuesday, April 7, 2015.

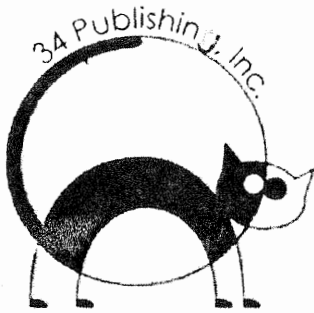
Natalie Cappetta

for Oak Brook Village President

Vote on April 7, 2015

For more information go to www.natalieforoakbrook.com

Paid For By Oak Brook For Natalie Cappetta



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34588
Date: 3-17-15

Oak Brook For Natalie Cappetta

Cappetta Checklist Design	\$350
6 additional changes (over 1st 3)	\$120

Pd 3/30/15

Amount Due \$470

Phone 708-366-8947 • Fax 708-366-8957

Natalie Cappetta TO DO LIST

2015-2019

President of Oak Brook

- ☐ Prevent Impending Village Property Tax
- ☐ Eliminate \$38 Million Employee Pension Deficit
- ☐ Update Zoning Code To Prevent Depreciation Of Your Property Values
- ☐ Attract New Businesses Each Year To Fill Vacancies
- ☐ Install LED Street Lights For Safety And Security
- ☐ Eliminate Favoritism And Cronyism
- ☐ Establish Citywide High Speed WiFi Service
- ☐ Time Stoplights That Are Within Our Jurisdiction
- ☐ Reestablish strong relationship with Oak Brook Chamber of Commerce
- ☐ Make fiscally responsible changes to the way Oak Brook does business

★
NATALIE
Cappetta
Oak Brook Village President

Vote April 7th
Natalie Cappetta For Oak Brook Village President

Natalie Cappetta Will Help Make
Oak Brook Great Again!

From the Desk of Natalie Cappetta

Dear Friends and Neighbors,

The Village of Oak Brook began as a premier place to live long before the current administration began its tenure. We can do much better to maintain a high quality of life in Oak Brook while enhancing business growth, expanding resident services and improving our basic infrastructure.



Natalie Cappetta

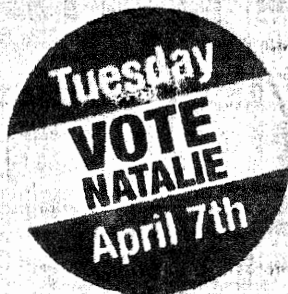
Although Oak Brook has a solid economic base and hard-working residents to forge a brighter future for our community, we need new leadership to pull together all of our resources to compete with neighboring communities over the next 50 years.

On Tuesday, April 7, 2015, I am asking you to vote for experience, dedication and results. I am asking for your vote because of my public record of standing up for community standards as a member of our Zoning Board of Appeals and the Planned Unit Development Committee. Oak Brook can continue to be great if we have practical solutions to serious problems that have been hidden from the public view, but we need to go in a new direction with new leadership to make our success possible.

Sincerely,

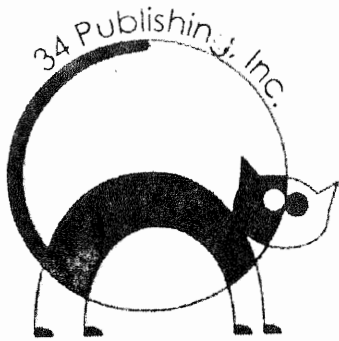
Natalie Cappetta

Natalie Cappetta
Village President Candidate



The facts are clear. Natalie Cappetta will get the job done for our families.
On April 7th, vote to keep Oak Brook a great place to live and work
for all our families – vote for Natalie Cappetta

Paid for by Oak Brook For Natalie Cappetta



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34594
Date: 3-25-15

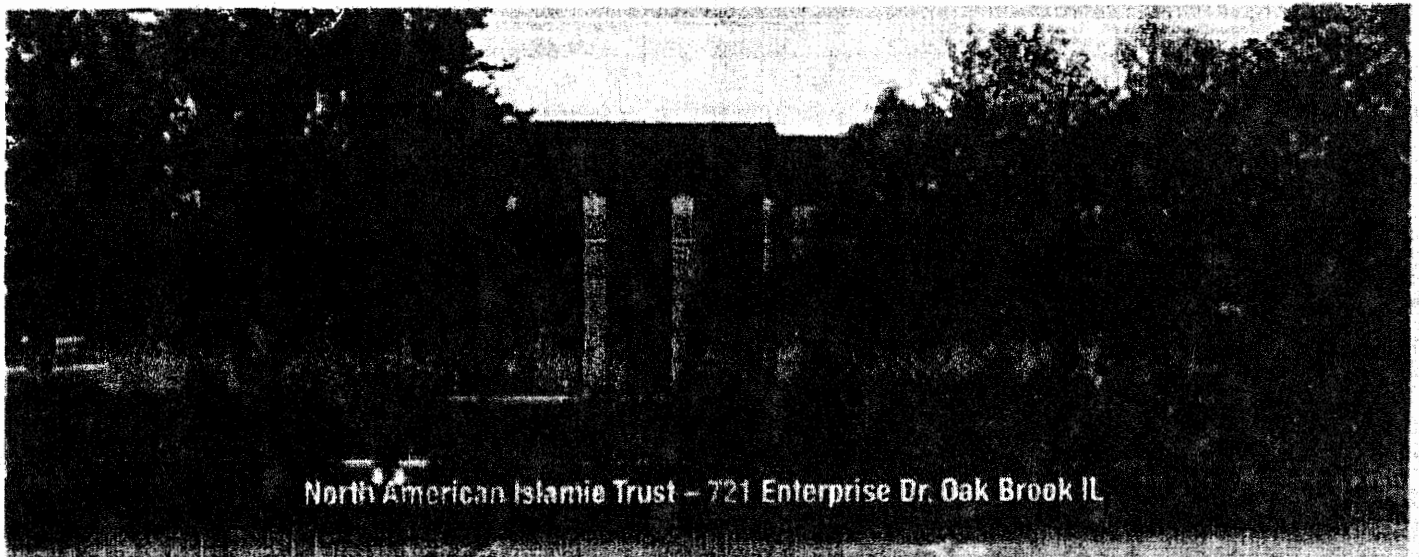
Oak Brook For Natalie Cappetta

Cappetta NAIT Design	\$350
9 Additional Changes (over 1st 3)	\$180

pd 3/30/15

Amount Due \$530

Paid for by Oak Brook For Natalie Cappetta
1900 Spring Road, Suite 102
Oak Brook, Illinois 60523



North American Islamic Trust – 721 Enterprise Dr. Oak Brook IL

North American Islamic Trust Taxes of \$27,000 in 2012 and scheduled for \$0 in 2014 ¹

North American Islamic Trust's stated use of the property is improper under zoning laws ²

North American Islamic Trust Public Safety: "...There are no threats or other concerns in the village," -Oak Brook Official ³

But someone is having trouble telling the truth.

Had it not been for a recent TV news story, this matter would not have been known.

¹ Freedom of Information Act Assessor's Response - NAIT Application For Religious Property Exemption - Illinois Department of Revenue - Form PTAX-33 R. ² Oak Brook Zoning Ordinances. ³ The Daily News, 3/19/15

Property Taxes:

North American Islamic Trust (NAIT) claimed in their tax exemption application, "Religious worship services, daily religious worship, communication among Imams, religious leaders and lay persons."⁴ But that two story office building at 721 Enterprise Drive is, according to Oak Brook Officials, "A Business."⁴

If NAIT is a business, why are they getting tens of thousands of dollars in property tax reductions?

Public Safety:

As far back as 1987, a recently declassified FBI internal memo states that *"...the organizational support provided by NAIT includes planning, organizing and funding anti-U.S. and anti-Israel demonstrations..."*¹ In 2007, Federal prosecutors named NAIT as an unindicted co-conspirator in a criminal prosecution of a foundation found guilty of funding terrorist organizations.² In 2009, the U.S. District Court Judge refused to remove NAIT as an unindicted co-conspirator in finding that **"the government has produced ample evidence to establish the association of ... NAIT with ... Hamas."**³

Zoning Code:

After the Village was notified that NAIT was attempting to claim a massive property tax exemption,⁵ Village officials took no action. The NAIT building does not have the required land area to site a place of worship, yet the Village has done nothing about improper use of Oak Brook Property.

If NAIT pays no taxes by claiming it's religious use of the property, why hasn't the village moved to revoke it's occupancy permit?

The Truth:

If you believe that village officials should stop concealing the truth or if you believe Oak Brook Village Officials should enforce our zoning codes, protect our community from all threats, foreign and domestic, and ensure all entities in our community pay their fair share of property taxes, you need to elect new leadership on April 7, 2015.

Hiding the truth and making believe that all is well is the hallmark of the current administration so long as it sounds peaceful.

1. Declassified FBI memorandum dated December 15, 1987; <http://www.investigativeproject.org/documents/misc/708.pdf>

2. United States District Court (Dallas, Texas) Case No. 04 - 0240 (Attachment A)

3. United States District Court (Dallas, Texas) Case No. 04 - 0240-P (Document 1356)

4. The Doings Newspaper, 3/19/15

5. Freedom of Information Response - NAIT 2013 Application For Religious Property Exemption - Assessors Office



7442 W. Madison St.
Forest Park, IL 60130

Invoice #34601
Date: 3-27-15

Oak Brook for Natalie Cappetta

Cappetta GOTV Design

\$350

pd 4/3/15

Amount Due \$350

Phone 708-366-8947 • Fax 708-366-8957



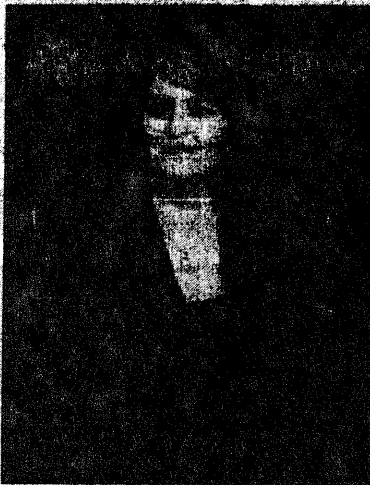
For Our Safety...

For Tax Fairness...

**For The Preservation Of Our
Community Standards...**

**Natalie Cappetta
For Oak Brook Village President**

Paid for by Oak Brook For Natalie Cappetta
1900 Spring Road, Suite 102
Oak Brook, Illinois 60523



Natalie Cappetta

On the surface, Oak Brook officials look like they are paying attention to their jobs.

But if you dig just a few inches, you find the foundation of our local government is failing.

If you dig through the financial records, you find that Oak Brook has \$38 million in unfunded public employee pension debt because no one planned ahead.

If you dig through the tax exemption records, you find that the office building owned by a real estate business called the North American Islamic Trust has a 100% religious based property tax exemption — even though the Village never checked to see if they hold religious services and they do not have enough land to qualify for a place of worship.

If you dig through the zoning and planning records, you find that they have not updated our comprehensive plan and zoning laws in over a decade, which promotes the conditions that cause the value of your real estate in Oak Brook to decline 36% since 2006, as reported in the April 2015 edition of Chicago Magazine.

Oak Brook was founded by visionary leaders and a great plan was put in place to maintain a safe, well run, well planned community with **NO VILLAGE PROPERTY TAX.**

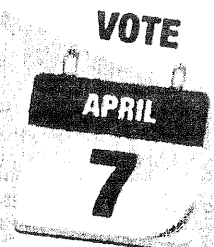
Now that is all in danger because of Oak Brook politicians who are more interested in keeping their jobs than doing their jobs.

**Natalie is not just another alternative,
she is the right choice to lead us.**

Natalie Capetta For Oak Brook Village President

The Polls Are Open 6:00 AM Through 7:00 PM. Vote Tuesday April 7, 2015.

Paid for by Oak Brook For Natalie Cappetta





7442 W. Madison St.
Forest Park, IL 60130

Exhibit B

Invoice #34617

Date: 4-3-15

Oak Brook for Natalie Cappetta

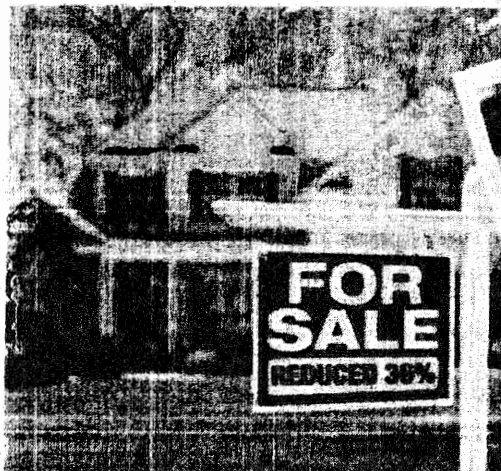
Cappetta Secrets MP	\$350
Changes	\$100

Amount Due \$450

Phone 708-366-8947 • Fax 708-366-8957

Paid for by Oak Brook For Natalie Cappetta
1900 Spring Road, Suite 102
Oak Brook, Illinois 60523

TOP SECRET



North American Islamic Trust Building -
721 Enterprise Drive, Oak Brook, IL



\$42 Million in Pension Debt

Gopal Lalimalani
Oak Brook
Village President

EYES ONLY—BURN AFTER READING

TOP SECRET

What is Gopal Lalmalani trying to hide from our families?

- The Village Of Oak Brook has \$42,000,000.00 in Pension Debt ¹
- Home values in Oak Brook have plummeted 36% ²
- The North American Islamic Trust has moved into Oak Brook, received a massive property tax reduction on a parcel that is not compliant with our zoning, and the current administration has done nothing... ³

Gopal Lalmalani has failed our families.

**Keeping secrets from
Oak Brook families
is just wrong!**

**On April 7th you get to
produce your own secret -
document - your ballot.**

**It is time for our families to
cast their ballots in secret
and remove the current
administration from power.**

**Stop the secrets - restore
Oak Brook. The time for
Gopal Lalmalani to be out
of office is now.**

1. Village of Oak Brook Annual Financial Report. 2. April 2015 Chicago Magazine. 3. Freedom of Information Response
NAIT 2013 Application For Religious Property Exemption - Assessors Office

Rep Exhibit #1

Yes Press

3604 S Iron Street – Chicago, Illinois 60609

Invoice

4/1/2015

Deliver To: USPS

Invoice to: CAPPETTA

Quantity	Item No.	Description	Unit Price	Total
4,500		4-Color SECRETS / 2 Sided Card		\$1,950
		Postage		\$895
		Label / Bag / Tag / Verify		\$375
		2 Day RUSH		\$300

Deliver to USPS

\$100

Balance Due:

\$3,620

paid
4/3/15
ch # 1066

~~Pay \$3020⁰⁰ on account of this invoice~~
~~paid 4/3/15 ch # 1064~~

Rependent
~~Complete~~

Ex 1

Hinsdale Bank and Trust

PAGE 4

Period: 3/31/2015 To 4/30/2015

Account: 750004630

OAK BROOK FOR NATALIE CARPETTA		1066
1000 SPRING RD SUITE 100		
OAK BROOK, IL 60452		
DATE 4-3-15		
PAY TO THE ORDER OF <u>Yuse P. Brown</u>		\$ 3620.00
<u>Three Thousand Six Hundred Twenty Dollars and 00/100</u>		
COMMUNITY BANK OF WILLOWSBROOK		
A member of Hinsdale Bank & Trust Company		
A WILLOWSBROOK COMMUNITY BANK		
MEMBER FDIC		
100719254026 #0750004630# 1066		

1066 04/07/15 \$3620.00

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Amy Rita

Complainant

Vs.

Board File # 15 CD 107

Oak Brook for Natalie Cappetta

Respondent

REPORT OF HEARING OFFICER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq. (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-11(a)(16). Specifically, Oak Brook for Natalie Cappetta failed to report outstanding debts due and owing. *Amy Rita v Oak Brook for Natalie Cappetta*, see attached.

STATES EXHIBITS

To be made a part of this report, a copy of the D-4, Complaint for Violation of the Campaign Disclosure Act, filed on November 6, 2015, at the Board’s Chicago office, 100 W Randolph St., Ste 14-100, Chicago, IL 60601; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing to be conducted in the matter, Monday, December 14, 2015, at 2:00 p.m., at the Illinois State Board of Elections Office, 100 W. Randolph St., Ste 14-100, Chicago, IL, 60601.

COMPLAINANTS CASE –IN-CHIEF

Amy Rita, the President of 34 Publishing, appeared as the Complainant. Ms. Rita verbally amended the complaint to correct the statutory provision that was violated. The complaint shows the violation as 10 ILCS 5/9 (16) and it should have read 10 ILCS 5/9-11(a)(16). Ms. Rita stated that she included in the complaint 3 invoices that were not reported as outstanding debts on the Committee’s quarterly report for the 2nd and 3rd quarters of 2015. Ms. Rita said she has personal knowledge regarding these three businesses as she is the President of one of them and she closely works with the other two business because the services they provide overlap. The first invoice shows that as of 4/3/15 the Committee owed 34 Publishing, Inc. \$450. The second invoice shows that as of 2/12/15 the Committee owed ELECT, Inc. \$600. The third invoice shows that the Committee owes Dolfin, Inc. \$3,750. Ms. Rita stated that all three of these amounts remain unpaid. The Complainant introduced Group Exhibit A (Invoice #’s 34534, 34546, 34547, 34548, 34567, 34588, 34594, and 34601 and the designs for each invoice that were created by 34 Publishing, Inc.) to show that they were a constant vendor for the Committee throughout the campaign. Ms. Rita said that all of the projects within Group Exhibit A have been paid for. The Complainant also introduced Exhibit B, which is another copy of the outstanding invoice that was attached to the complaint along with a copy of what the mail piece looked like to show the Board the actual work in question regarding one of the outstanding debts.

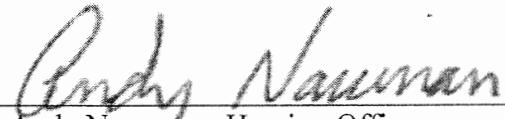
RESPONDENTS CASE-IN-CHIEF

The Respondent did not appear at the Closed Preliminary Hearing.

CONCLUSIONS AND RECOMMENDATIONS

I delayed the start of the hearing around 20 minutes to allow the Respondent an opportunity to arrive in case they were running late. However, since they did not show and we received verification on the delivery of the Notice of Summons the hearing was conducted without the Respondent.

The Complainant, Amy Rita, testified that she is personally aware of 3 outstanding debts that were not reported on the Respondent's campaign disclosure reports in 2015. As the President of 34 Publishing, Inc. the Hearing Officer believes that Ms. Rita would have knowledge on whether a bill was outstanding or not regarding this company. However, even if Ms. Rita is familiar with the other businesses no information was provided to substantiate the other two invoices are still outstanding beyond the hearsay evidence she provided. Furthermore, without the Respondent being present it is rather hard to substantiate whether the invoices were received to determine if these debts should have been included on their campaign disclosure filings or not. That being said I believe enough information and evidence has been provided to raise enough questions on whether these invoices should have been reported as outstanding debts and obligations therefore I recommend that this matter be found to have been filed upon justifiable grounds and proceed to a public hearing.



Andy Nauman – Hearing Officer
December 15, 2015

BEFORE THE DULY CONSTITUTED
ILLINOIS STATE BOARD OF ELECTIONS

IN THE MATTER OF:

David W. Cooke,

Complainant,

v.

Committee for Frank J. Mautino,

Respondent.

Board File # 16 CD 093

NOTICE OF FILING

TO: David W. Cook

davidcooke1@gmail.com

Ken Menzel

kmenzel@elections.il.gov

James Tenuto

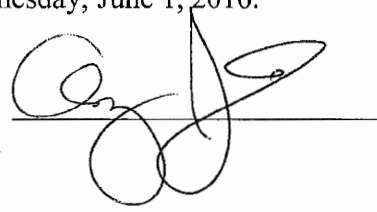
jtenuto@elections.il.gov

Please take notice that on Wednesday, June 1, 2016, I filed with the Illinois State Board of Elections the attached Respondent's Motion to Stay, a copy of which is hereby served upon you.

CERTIFICATE OF SERVICE

The undersigned attorney hereby certifies that copies of the attached pleading were served upon the parties referenced above by email on Wednesday, June 1, 2016.

Anthony J. Jacob
Hinshaw & Culbertson LLP
222 N. LaSalle Street
Suite 300
Chicago, IL 60601
312-704-3105
ajacob@hinshawlaw.com



BEFORE THE DULY CONSTITUTED
ILLINOIS STATE BOARD OF ELECTIONS

IN THE MATTER OF:

David W. Cooke,)	
)	
Complainant,)	
)	
v.)	Board File # 16 CD 093
)	
Committee for Frank J. Mautino,)	
)	
Respondent.)	

RESPONDENT'S MOTION TO STAY

Respondent, Committee for Frank J. Mautino (the "Committee"), by its attorneys, Hinshaw & Culbertson LLP, for their motion to stay, state:

INTRODUCTION

This case should be stayed so that Frank J. Mautino ("Mautino") will not be forced to have to choose to claim or waive the protection of his Fifth Amendment rights in this Illinois State Board of Elections ("Board") proceeding where there is a pending, parallel federal criminal investigation and both proceedings involve the same subject matter.

BACKGROUND

The Committee dissolved as a political committee and filed its final report on December 30, 2015 pursuant to the Illinois Election Code. The Committee's final report includes a final disposition of its funds and assets. As of the date of the final report, the Committee's funds balance was zero (\$0). Mautino, the candidate and office holder for the Committee, became the Illinois Auditor General, effective as of January 1, 2016. Illinois law does not permit the

Auditor General to become a candidate for elective office, hold public office other than as Auditor General, or actively participate in any political campaign for any public office.

On February 13, 2016, David W. Cooke (the "Complainant") filed a complaint (the "Complaint") against the Committee for violation of the Campaign Disclosure Act. The Complaint seeks information and clarification as to certain reported expenditures. On May 16, 2016, the Board ordered that the Committee amend its reports on or before July 1, 2016, as to expenditures made to Happy's Super Service and Spring Valley City Bank. Subsequent to the issuance of the Board's order, on about May 19, 2016, it was publically reported that campaign workers for Mautino, while he was a state legislator, have received federal grand jury subpoenas and that a federal investigation is pending. Mautino, through undersigned counsel, acknowledges that the U.S. Attorney's Office for the Central District of Illinois is conducting an investigation related to the Committee and its expenditures.

I. THIS CASE SHOULD BE STAYED UNTIL AFTER THE FEDERAL INVESTIGATION HAS BEEN RESOLVED.

The entry of a stay maintains the Board's proceeding in its existing state without ruling on the dispute between the parties. *See Davies ex rel. Harris v. Pasamba*, 17 N.E.3d 763 (Ill. App 1st Dist. 2014). When a federal investigative matter is pending during the course of a civil action, the Board can stay the civil action based on the Fifth Amendment until the resolution of the federal investigative matter. *See People ex rel. Hartigan v. Kafka & Sons Building & Supply Co.*, 252 Ill.App.3d 115, 119 (1993). Under the Illinois Administrative Law Code, the Board has the discretion to stay a pending matter. 26 Ill.Admin.Code 125.110(c).

Mautino is currently subject to a federal investigation at the same time as the Board's pending proceeding, and he could assert a Fifth Amendment privilege as to any information or discovery in connection with the Complaint or other proceedings of the Board. If Mautino was

to waive his Fifth Amendment privilege in connection with the filing of amendments to the Committee's campaign reports or any discovery in this case, he would also be waiving his privilege in the federal investigative matter.

A. A Stay is the Appropriate Means of Protecting Fifth Amendment Rights and Ensuring a Fair Proceeding.

The Fifth Amendment of the United States Constitution provides that no person "shall be compelled in any criminal case to be a witness against himself." U.S. Const., amend. V. Once the Fifth Amendment right to remain silent is waived in a civil case, it is waived in federal criminal investigative matters as well. *U.S. v. Kordel*, 397 U.S. 1 (1970). In a civil matter, a party may claim privilege against self-incrimination under the Fifth Amendment to protect himself from involuntarily disclosing information which might implicate him criminally. *People ex rel. Hartigan v. Kafka & Sons Building & Supply Co.*, 252 Ill.App.3d 115, 119 (1993); *10-Dix Building Corporation v. McDannel*, 134 Ill.App.3d 66 (1st Dist. 1985); *Galante v. Steel City National Bank of Chicago*, 66 Ill.App.3d 476 (1st. Dist. 1978).

"[I]t is not at all rare for a person faced with criminal charges or a pending investigation to invoke the privilege [in a civil matter] even though he may have done nothing wrong out of an abundance of caution prompted by a careful criminal defense lawyer." *Chagolla v. City of Chicago, et al.*, Case No. 07 C 4557 (U.S. Dist. Ct. N.D.Ill. 2008) (Judge Kennelly)*. For this reason, courts routinely endorse a stay of the civil proceeding as an appropriate means of addressing the Fifth Amendment dilemma created by a parallel federal criminal proceeding. *See, e.g., United States v. Kordel*, 397 U.S. 1, 9 (1970); *Sec. & Exch. Comm'n v. Dresser Indus., Inc.*, 628 F.2d 1368, 1375-76 (D.C. Cir.) (cert. denied 449 U.S. 993 (1980)).

* A copy of Judge Kennelly's opinion is attached.

Mautino unquestionably has a Fifth Amendment privilege as to any statement related to the Complaint in this proceeding, including statements made in connection with any amendments to his Committee's reports—a privilege he has an absolute right to assert in this proceeding. Moreover, there is no urgency to amending the Committee's campaign reports because the Committee has been dissolved and closed since December 30, 2015, and Mautino is not and will not be a candidate for electoral office in any upcoming election. For these reasons, and for the reasons set forth below, this Board should exercise its discretion to stay this matter, where, as here, a parallel federal investigation that may result in a criminal proceeding would force Mautino to choose between protecting his Fifth Amendment right and amending his Committee's campaign reports and responding to discovery in this proceeding. *See, Chagolla v. City of Chicago, et al.*, Case No. 07 C 4557 (U.S. Dist. Ct. N.D.Ill. 2008); *U.S. v. All Meat and Poultry Products*, No. 02 C 5145, 2003 WL 22284318, *1 (N.D. Ill. 2003); *Cruz v. County of DuPage*, No 96 C 7170, 1997 WL 370194, *1 (N.D. Ill. 1997); and *United States v. Kordel*, 397 U.S. 1, 9 (1970); *Securities and Exchange Com'n v. Dresser Indus., Inc.*, 628 F.2d 1368, 1375-76 (D.C. Cir.)(*cert. denied*, 449 U.S. 993 (1980)).

B. The Relevant Factors Warrant a Stay Here.

In determining whether a stay should be granted in a civil proceeding based on the existence of a parallel federal criminal investigation, the Board should consider various factors: (1) whether the civil action involves the same subject matter as the federal investigation; (2) whether the government is a party in both proceedings; (3) the posture of the federal proceedings; (4) the effect of a stay on the public interest; (5) Complainant's interest in proceeding expeditiously; and (6) the burden imposed upon Respondent regarding any particular aspect of the administrative proceedings. *Benevolence International Foundation, Inc. v. John Ashcroft, et al.*, 200 F.Supp.2d 935 (N.D. Ill. 2002); *Chagolla v. City of Chicago, et al.*, Case No.

07 C 4557 (U.S. Dist. Ct. N.D.Ill. 2008); *CHB Uptown Properties*, 378 Ill.App.3d at 108–09, 317 Ill.Dec. 255, 881 N.E.2d 423; *Jacksonville Savings Bank v. Kovack*, 326 Ill.App.3d 1131, 1136 (4th Dist. 2002). These factors weigh heavily in favor a stay in this matter.

1. The Complaint Involves the Same Subject Matter as the Federal Investigation.

The Complaint currently pending before the Board and the federal investigation involve the same subject matter. Based on the information available to the undersigned counsel, the federal investigation relates to the Committee's and, by extension, Mautino's campaign expenditures and his disclosures of campaign expenditures to the Board. The Complaint that is the subject of the Board's proceedings involves the same matter. The close relationship between the Board's proceeding and the federal investigation weighs in favor of a stay. *See, e.g., Chagolla v. City of Chicago, et al.*, Case No. 07 C 4557 (U.S. Dist. Ct. N.D.Ill. 2008).

2. Whether the Government is a Party in Both Proceedings.

Here, neither the Board nor the federal government is a party to both proceedings. Mautino concedes this factor weighs against a stay.

3. Posture of the Federal Proceeding.

The federal investigation is ongoing. Although no party has been indicted, the fact that the federal investigation is ongoing and that it concerns the use of the Committee's campaign funds by Mautino, places Mautino at risk of potentially facing criminal charges. This point weighs in favor of a stay.

4. The Public has Important Interests in Upholding the Fifth Amendment and Promoting Full and Fair Civil Trials.

Granting a stay in this case will promote two important public interests. First, it will support the public's interest in seeing that the protections afforded by the Fifth Amendment are safeguarded. *See, e.g., Ex parte Coastal Training Inst.*, 583 So. 2d 979, 981

(Ala. 1991) (“[Courts] must favor the constitutional privilege against self-incrimination over the interest in avoiding the delay of a civil proceeding.”). Second, a stay will promote the public’s interest in ensuring that civil disputes, including Complainant’s, are fairly decided on a full factual record. *Cf. Edwards v. Atrium Village*, 127 F.R.D. 494, 499 (N.D. Ill. 1989) (noting the “important public interest in having [an] issue decided on the basis of a full factual record”). When also considering that the Committee has been closed since December 30, 2015 and that Illinois law under Section 2-7 of the Illinois State Auditing Act, 30 ILCS 5/2-7, restricts Mautino from operating a political committee and from participating in any political campaign while holding the office of Illinois Auditor General, this factor also favors a stay.

5. A Stay Would Not Prejudice Complainant.

While entry of a stay would delay the Board’s proceedings, Complainant, who is a pro se concerned public citizen, filed the Complaint to examine questions raised with respect to the Committee’s campaign finance reports filed with the Board. There is no urgency with the Board’s proceedings. The Committee was dissolved months ago and is no longer conducting any campaign activities. The Complainant has no personal interest in obtaining resolution of this matter that would be prejudiced by a stay. Thus, there would be no irreparable harm or prejudice to Complainant if the Board grants a stay until resolution of the federal investigation.

6. Not Issuing a Stay Will Prejudice Mautino.

If Mautino is forced to amend his Committee’s campaign finance reports, he will be faced with the choice of whether to claim or waive the Fifth Amendment privilege against self-incrimination. The Fifth Amendment privilege protects a person from having to answer official questions put to him in any proceeding, civil or criminal, formal or informal, where the answers might incriminate him in future criminal proceedings. *See, Lefkowitz v. Turley*, 414 U.S. 70, 77, 94 S.Ct. 316 (1973). Once the Fifth Amendment privilege is waived in a civil case, however, it

is waived in the federal investigative matter as well. As Judge Kennelly observed in the *Chagolla* case:

“[I]t is not at all rare for a person faced with criminal charges or a pending investigation to invoke the privilege even though he may have done nothing wrong out of an abundance of caution prompted by a careful criminal defense lawyer...A civil defendant in this situation who is effectively backed into a corner in which he has no viable choice but to claim the privilege is forced to face a significant risk of unfair prejudice that may be virtually impossible to remedy.”

Chagolla v. City of Chicago, et al., Case No. 07 C 4557 (U.S. Dist. Ct. N.D.Ill. 2008).

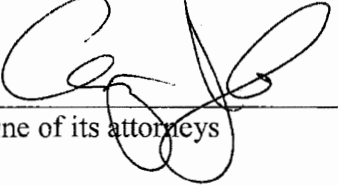
Therefore, a stay in the current Board proceeding is critical to permit Mautino to preserve his Fifth Amendment rights by not being forced to choose to claim the privilege, thereby creating risk that the claim could be used against him. This is a significant factor weighing in favor of a stay.

WHEREFORE, based upon the foregoing, Respondent respectfully urges the Board to grant its Motion to Stay the Board's proceedings in this matter pending resolution of the federal investigation.

Dated: June 1, 2016

Respectfully submitted,

COMMITTEE FOR FRANK J. MAUTINO

By: 
One of its attorneys

J. William Roberts
Anthony Jacob
Sergio E. Acosta
HINSHAW & CULBERTSON LLP
222 N. LaSalle Street, Suite 300
Chicago, Illinois 60601
312-704-3000
Firm ID 90384

BR

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

ERIC CHAGOLLA,

Plaintiff,

vs.

Case No. 07 C 4557

**CITY OF CHICAGO; KEITH HERRERA;
JEROME FINNIGAN; DONOVAN
MARKIEWICZ; WILLIAM MORALES;
TIMOTHY PARKER; PAUL ZOGG;
MARGARET HOPKINS; and
FRANK VILLAREAL,**

Defendants.

MEMORANDUM OPINION AND ORDER

MATTHEW F. KENNELLY, District Judge:

Eric Chagolla has sued the City of Chicago and eight Chicago police officers, all of whom were part of the Chicago Police Department's Special Operations Section. Chagolla alleges that on August 12, 2005, he was outside the front door of his apartment on the near northwest side of Chicago when the eight officers appeared and, after threatening him at gunpoint, entered and ransacked his apartment, stealing money that he kept there. Chagolla contends that the officers then took him into custody and drove him in a police car to a parking lot, where they left him in the car with the heat turned on. Chagolla says that the officers then made false statements that caused him to be charged with a crime and that as a result, he was detained in custody pending trial for over seven months.

A few months later, three of the officers, defendants Finnigan, Herrera, and Hopkins were charged criminally with engaging in acts similar to those alleged by Chagolla. In September

2006, county prosecutors dropped the charges against Chagolla. Then, in January 2007, a Cook County grand jury indicted defendants Finnigan, Herrera, Hopkins, and Villareal for armed violence, residential burglary, theft, and other offenses in connection with their actions against Chagolla. None of the parties has provided the Court with information regarding the current status of the state court case, in particular whether the case has been set for trial, and if so, when.

Chagolla has sued the eight individual defendants under 42 U.S.C. § 1983 for excessive force, unreasonable search and seizure, false arrest and detention, and deprivation of due process, as well as related torts under state law. Chagolla has sued the City of Chicago on a theory of *respondeat superior* with regard to the state law tort claims, for indemnification vis-à-vis the individual defendants under 745 ILCS 10/9-102, and under section 1983. In his section 1983 claim against the City, Chagolla alleges that the City was aware of a pattern of similar misconduct by the defendant officers prior to August 2005 but did nothing, and that this and a practice of generally failing to deal properly with police misconduct allowed and encouraged the individual defendants to continue their improper activities. *See Monell v. Dep't of Social Servs. of City of New York*, 436 U.S. 658 (1978).

Defendant Finnigan was recently charged in federal court with using a cellular telephone in interstate commerce to solicit and arrange the murder of an unnamed former Chicago police officer whom he believed was providing the authorities with information about him. In the criminal complaint against Finnigan, the United States Attorney also alleges that Finnigan expressed an intention to kill other unnamed officers he likewise believed were cooperating with the authorities. *See United States v. Finnigan*, Case No. 07 CR 634. The government further alleges in the complaint that there is an ongoing federal investigation regarding the Special

Operations Section. According to the docket in *United States v. Finnigan*, the Chief Judge has granted the government an extension until January 24, 2008 to return an indictment against Finnigan.

Each of the defendants has moved to stay proceedings in this case pending the resolution of all state and federal criminal proceedings and investigations involving any of the individual defendants. The defendants' request is not limited to a stay of discovery; they also seek a stay of all other proceedings, including their obligation to respond to Chagolla's complaint. The Court previously denied the City of Chicago's motion to stay the filing of its response to the complaint. The City has answered several of Chagolla's claims and has moved to dismiss certain others pursuant to Federal Rule of Civil Procedure 12(b)(6). The City's motion to stay further proceedings remains pending.

Numerous lawsuits against the defendants and other SOS officers are pending in this Court. A number of the cases have been stayed; others evidently have not been stayed. Some of the cases have been settled, and settlement discussions are ongoing in others.

In support of their motion to stay, defendants Parker, Morales, Zogg, and Markiewicz (none of whom has been charged criminally) argue that if the case proceeds, they will be "forced into the untenable position of defending themselves without the full benefit of discovery from, and consultation with, all the defendants in this matter" because their indicted co-defendants are likely to invoke the privilege against self-incrimination and due to the ongoing criminal investigations, they themselves may have to consider invoking that privilege. They argue that they should not be forced to choose between claiming the privilege, and thus potentially prejudicing their defense of the present case, or testifying, and thus potentially prejudicing their

defense of any potential criminal charge. *See Parker, et al. Motion to Stay* at 1-2. Defendant Herrera makes a similar argument; he also points to the pending murder-solicitation charge against Finnigan and argues that if discovery is allowed to proceed, information "may come to light in both the pleading[s] and discovery that may endanger the officers and possibly members of the public." *Herrera Motion to Stay* at 2, 3-4. Defendant Hopkins, who like Herrera is under indictment in state court, asks for a stay so that she may have "an opportunity to defend the civil case without the negative inference of pleading the Fifth Amendment." *Hopkins Motion to Stay Filing of Answer* at 2. Finnigan has not filed a motion to stay; it is unclear whether he has been served with summons in the present case. Finally, the City of Chicago says that because it is likely that the individual defendants will invoke the privilege against self-incrimination, unless the case is stayed the City may be "deprived of the deposition testimony of eight of the nine crucial witnesses in this case . . . , resulting in significant prejudice to its ability to defend itself in this action." *City of Chicago Motion to Stay* at 1.

Chagolla opposes entry of a stay. He argues that putting the individual defendants in a position where they feel compelled to assert the privilege against self-incrimination does not unfairly prejudice them. He also notes that discovery is proceeding against the same defendants in other lawsuits pending in this District that have not been stayed and that because defendants will have to confront the testify-or-claim-the-privilege issue in those cases, the issue is or soon will be "moot." *Chagolla Response* at 7. Alternatively, Chagolla argues that if the Court imposes a stay based on the self-incrimination issue, it should allow discovery to proceed vis-à-vis the City of Chicago on his *Monell* claim, contending that this will in no way prejudice any of the defendants.

Discussion

Four of the individual defendants are under indictment on criminal charges related directly to the matters involved in the present case, and there are ongoing criminal investigations regarding the on-the-job conduct of all of the individual defendants. Under the circumstances, there is no question that each of the individual defendants could legitimately claim his or her Fifth Amendment privilege against self-incrimination if required to answer the complaint or discovery requests in the present case.

Absent a stay of proceedings in this case, each of the individual defendants will have to decide whether to claim the privilege against self-incrimination or to answer the complaint and, ultimately, respond to written discovery requests and questioning at a deposition. Any defendant who chooses to invoke the privilege runs the risk that this will be used as the basis for an adverse inference against him or her in this case, *see, e.g., Harris v. City of Chicago*, 266 F.3d 750, 753 (7th Cir. 2001), a practice the Fifth Amendment does not prohibit. *See Baxter v. Palmigiano*, 425 U.S. 308, 318 (1976).

Conversely, any defendant who chooses to testify or otherwise respond to discovery in this case runs the risk that his or her responses will be used by prosecuting authorities to pursue the current criminal charges or other potential charges not yet filed. Any defendant who opted to testify would be doing so in the face of a risk he or she cannot assess completely, in view of the fact that there are ongoing investigations whose outcome is uncertain. Given that state of affairs, it is highly unlikely that any criminal defense attorney worthy of that title would allow any of the individual defendants to testify or answer discovery or the complaint in the present case. In short, the likelihood is overwhelming that if forced to choose between claiming the privilege and

answering discovery or the complaint, each of the individual defendants will elect to claim the privilege, thereby creating the risk that the claim could be used to help prove Chagolla's allegations against them.

The fact that a party to civil litigation is faced with this sort of choice does not automatically entitle him to a stay of the civil case. "[A] stay contemplates special circumstances and the need to avoid substantial and irreparable prejudice. The very fact of a parallel criminal proceeding . . . d[oes] not alone undercut [a defendant or claimant's] privilege against self-incrimination, even though the pendency of the criminal action force[s] him to choose between preserving his privilege against self-incrimination and losing the civil suit." *United States v. Certain Real Property, Commonly Known as 6250 Ledge Road, Egg Harbor, Wisc.*, 943 F.2d 721, 729 (7th Cir. 1991) (quoting *United States v. Little Al*, 712 F.2d 133, 136 (5th Cir. 1983) (internal quotation marks and citations omitted)).

A court may, however, stay parallel civil litigation in these circumstances if the interests of justice require it. *See United States v. Kordel*, 397 U.S. 1, 12 n. 27 (1970). Determination of whether to grant a stay due to parallel criminal litigation involves balancing the interests of the plaintiff, the defendants, and the public. The factors considered include the following non-exclusive list: whether the civil and criminal matters involve the same subject; whether the governmental entity that has initiated the criminal case or investigation is also a party in the civil case; the posture of the criminal proceeding; the effect of granting or denying a stay on the public interest; the interest of the civil-case plaintiff in proceeding expeditiously, and the potential prejudice the plaintiff may suffer from a delay; and the burden that any particular aspect of the civil case may impose on defendants if a stay is denied. *See, e.g., Cruz v. County of DuPage*, No.

96 C 7170, 1997 WL 370194, at *2 (N.D. Ill. June 27, 1997) (citing cases). The Court proceeds to examine each relevant factor in turn.

1. *Relationship between civil and criminal matters.* The present case and the pending state criminal prosecution involve the identical subject matter, namely the actions of certain of the individual defendants in connection with, and following, their alleged encounter with Chagolla on August 12, 2005. The pending federal criminal charge against Finnigan and the ongoing investigations are not quite so closely related to the core allegations in the present case, but the relationship is still a close one, given the potential that Chagolla might seek to use other similar events as evidence to support his claims against the individual defendants and the near-certainty that he will attempt to use other similar events to support his *Monell* claim. The close relationship between the civil and criminal matters weighs in favor of a stay. See, e.g., *Doe v. City of Chicago*, 360 F. Supp. 2d 880, 881 (N.D. Ill. 2005); *United States v. All Meat and Poultry Prods. Stored at Lagrou Cold Storage*, No. 02 C 5145, 2003 WL 22284318, at *4 (N.D. Ill. Oct. 3, 2003).

2. *Whether the government is a party in both cases.* If the governmental entity that initiated the parallel criminal prosecution or investigation is a party in the civil case, there is a concern that it may use the civil discovery process to circumvent limitations on discovery in criminal proceedings. See *Cruz*, 1997 WL 370194, at *3. That is not an issue in this case, as neither Cook County nor the federal government is a party to the civil litigation. This weighs against a stay.

Even though this case does not present the risk of the government's use of civil proceedings to further a criminal investigation, the pendency of parallel criminal and civil

matters poses the related risk of giving persons who have been accused criminally or whose conduct is under investigation the ability to use the civil discovery process to ferret out the particulars of the prosecuting authorities' case against them, an opportunity they would not have if no civil case were pending. This is cause for concern in the present circumstances even though the defendants are the parties who have requested a stay. The parties' submissions and the criminal complaint charging Finnigan give reason to believe that certain police officers with potential involvement in misconduct by the SOS unit may be cooperating with the state and/or federal prosecuting authorities. If discovery proceeds, the individual defendants who are under indictment or investigation but are not cooperating could use the discovery process to learn about the cooperation of others. One of the defendants, Finnigan, is already facing federal criminal charges for alleged efforts to do harm to a fellow officer or officers that he believed were cooperating with the authorities. The interest of any such officer(s) in avoiding, at least for the time being, awareness of his or her cooperation is significant and worthy of consideration.

3. *Posture of the criminal proceedings.* The pending state criminal case is, as far as the Court knows, at a relatively early stage; no party has indicated to the Court that a trial date has been set. The federal criminal case against Finnigan is at a very early stage, as no indictment has yet been returned, and any ongoing investigations are, of course, at an earlier stage still. The fact some defendants face only the threat of criminal charges, and no actual charges as yet, weighs against entry of a stay. *Cruz*, 1997 WL 370194, at *3. On the other hand, the fact that some of the defendants face pending criminal charges weighs somewhat in favor of a stay, though perhaps not significantly, due to the likelihood of significant delay before the charges reach final disposition.

4. *Effect of a stay on the public interest.* The public has an interest in the prompt disposition of civil litigation, an interest that has been enacted into positive law via the Civil Justice Reform Act of 1990. *See* 28 U.S.C. §§ 471-82. A stay quite obviously will impair that interest.

On the other hand, the public has an interest in ensuring that the criminal process can proceed untainted by civil litigation. *See, e.g., Jones v. City of Indianapolis*, 216 F.R.D. 440, 452 (S.D. Ind. 2003). The possibility that the orderly progress of the criminal cases and investigations – particularly those involving the exact same incidents at issue in the present case – will be hindered by issues that could arise from ongoing civil discovery is significant enough to be worthy of consideration.

5. *Plaintiff's interest in proceeding expeditiously.* As just discussed, entry of a stay will impose a delay on Chagolla, who has a significant interest in obtaining resolution of his claims and compensation if he proves he is entitled to it. This is an important consideration weighing against a stay, particularly because the delay may be substantial, given the potential breadth of the ongoing criminal investigations.

6. *The burden imposed on defendants regarding any particular aspect of the civil litigation.* Any individual defendant who is forced to respond to discovery will be faced with the choice of whether to claim or waive the privilege against self-incrimination. As the Court has suggested, the likelihood is overwhelming that each of the defendants faced with that choice will claim the privilege and thereby face the risk that Chagolla will use the privilege invocation to help prove the defendant's liability. Though the law allows this, the question of whether it is fair does not yield the same answer in every case. In the Court's experience, it is not at all rare for a

person faced with criminal charges or a pending investigation to invoke the privilege even though he may have done nothing wrong, out of an abundance of caution prompted by a careful criminal defense lawyer. Though a person who claims the privilege in such circumstances and then has it used against him in a parallel civil case ordinarily is given the chance to explain his invocation of the privilege, the finer points of risk-aversion as it relates to criminal defense practice easily can be lost on lay jurors. A civil defendant in this situation who is effectively backed into a corner in which he has no viable choice but to claim the privilege is forced to face a significant risk of unfair prejudice that may be virtually impossible to remedy. This is, in the Court's view, a factor weighing in favor of a stay.

7. *Chagolla's "mootness" argument.* The Court respectfully disagrees with Chagolla's contention that the need for a stay of the present case will be rendered "moot" by the fact that there are other similar cases pending in this district in which no stay has been ordered. Again, as indicated earlier, the likelihood is overwhelming that the individual defendants will claim the privilege against self-incrimination and that, as a result, no discovery will be obtained from them in the other cases. Though the Court has not been called upon to address whether a party's invocation of the privilege in other arguably related litigation could be used to draw an adverse against that party in the present case, the possibility that this might occur is not enough to render "moot" any particular defendant's dilemma in deciding what to do in the present case. In addition, a person who waives the privilege in one case does not thereby waive it in another case, even if the second case is related to the first. *See, e.g., Slutzker v. Johnson*, 393 F.3d 383, 389 (3d Cir. 2004); *United States v. Gary*, 74 F.3d 304, 312 (1st Cir. 1996); *United States v. Fortin*, 685 F.2d 1297, 1298-99 (11th Cir. 1982). Thus a defendant who chose to forego the privilege in

some other civil case would, in all likelihood, still be entitled to claim it in this case. In short, the fact that other judges have not opted for a stay does not render the matter moot. (That said, if one or more defendants elects to testify in other proceedings, that might be a factor tilting against a stay when this Court reevaluates the matter down the road.)

Having considered these factors, the Court exercises its discretion to stay further proceedings in the case as to the individual defendants, with one exception: any motions attacking the complaint must be filed by January 23, 2008. The requirement of filing such motions does not pose the Fifth Amendment dilemma that lies at the core of the present dispute. In addition, the Court will not enter an indefinite stay of proceedings. Rather, the stay will last, initially, for a period of four months. At that time, the Court will reevaluate the matter based on submission by the parties regarding any developments that might warrant terminating the stay or modifying it to permit the case to proceed (in its entirety or otherwise) as to some or all of the individual defendants.

As noted earlier, the City of Chicago has also requested a stay. The considerations relating to the City's request are entirely different from those concerning the individual defendants; the City has no Fifth Amendment privilege and thus is not faced with the dilemma and accompanying risks that confront an individual party to parallel civil and criminal litigation. On the other hand, there would be an element of unfairness were the City placed in a position in which its ability to defend itself were impaired because of the unavailability of the testimony of the individual officers regarding the events of August 12, 2005. This consideration might not carry the day were Chagolla to have sued only the City, and not any individual defendant. But because the Court is staying the case with regard to the individual defendants (eight of the nine

named defendants), there is little to be gained by requiring the City to proceed with discovery generally.

The Court agrees with Chagolla, however, that there is no basis to believe that any of the defendants – either the individual defendants or the City – would be unfairly prejudiced by allowing Chagolla to obtain paper discovery from the City regarding the SOS officers' encounter with him and other incidents of alleged misconduct on the part of the individual defendants and other SOS officers, and how the City dealt with any such incidents or complaints – in other words, the *Monell* discovery referenced by Chagolla in his alternative request for relief. Allowing such discovery to proceed will enable both Chagolla and the City to evaluate Chagolla's claim for settlement purposes and will give both sides a significant "leg up" once discovery resumes.

Conclusion

For the reasons stated above, the Court grants the motions to stay filed by the individual defendants and grants in part the motion to stay filed by the City of Chicago. The case is stayed, with the exceptions noted in the body of this decision, through May 5, 2008. Plaintiff is granted leave to serve written discovery requests upon the City of Chicago consistent with the discussion in the body of this decision. The case is set for a status hearing on January 28, 2007 at 9:30 a.m. to set a briefing schedule on any motions to dismiss (including the one already filed by the City) and to discuss, among other things, the advisability of setting the matter for a settlement conference.


MATTHEW F. KENNELLY
United States District Judge

Date: January 2, 2008

STATE BOARD OF ELECTIONS

2329 South MacArthur Blvd.

Springfield, Illinois 62704

217/782-4141

Tom Newman

Director, Division of Campaign Disclosure

To: Members of the Board, Steven S Sandvoss, Executive Director & Ken Menzel, General Counsel

Re: Settlement Offer Form

Date: May 31, 2016

Submitted for the Board's approval is a revised version of the form to be used by a political committee making a settlement offer for outstanding assessed fines. The revised form incorporates suggestions from Board members given at the May Board meeting, including additional emphasis that the Board is under no obligation to accept any settlement offer, adding "legal considerations" to the additional information section of the form, and requiring the signature and printed name of the committee official proposing the settlement.

If the Board has no further changes to propose, we can immediately begin requiring usage of the form for any settlement offers to be submitted by committees. An online version allowing for automatic calculations in the required fields can also be ready to go in short order.



Proposed Settlement Offer for Assessed Fines

Committee Name: _____ ID# _____

Unpaid Fine Total: \$ _____ (F)

Settlement Offer: \$ _____ (S)

Offer equals _____ % of Fine Total
 $(S \div F) \times 100$

☐ A check for the settlement amount is attached. (If the settlement offer is rejected, the check will be returned.)

☐ I waive appearance at any hearing regarding this settlement offer.

Information from Committee's 4 most recent Quarterly Reports:

Ending Balance:	\$ _____ (B1)	Total Receipts:	\$ _____ (R1)
	\$ _____ (B2)		\$ _____ (R2)
	\$ _____ (B3)		\$ _____ (R3)
	\$ _____ (B4)		\$ _____ (R4)

Avg. Ending Balance: \$ _____ (AB)
 $(B1+B2+B3+B4) \div 4$

Avg. Total Receipts: \$ _____ (AR)
 $(R1+R2+R3+R4) \div 4$

Ratio of fine/balance: _____ %
 $(F \div AB) \times 100$

Ratio of fine/receipts: _____ %
 $(F \div AR) \times 100$

Legal considerations, additional information or special circumstances:

Verification

I declare that this settlement offer has been examined by me and to the best of my knowledge and belief is true, accurate and complete. I understand that failure to complete all parts of this form may result in the offer being summarily rejected. I also understand the Board is under no legal obligation to accept any settlement offer.

Signature and Printed Name of Committee's Chairman, Treasurer or Candidate

Date

Return to: State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704-4503

or fax to: 217-782-5959

INSTRUCTIONS FOR COMPLETION OF THIS SETTLEMENT OFFER FORM

Please read the Board settlement offer policy before completing this form. Failure to fill out this form completely before submitting it may result in the settlement offer being rejected without being presented to the Board for consideration. Be aware that even if all required information is provided and meets the policy guidelines, the Board is under no legal obligation to accept a settlement offer.

1. Print the full name and political committee ID number of the committee requesting the settlement.
2. Enter the total dollar amount (on Line F) of all outstanding fines assessed against the committee. This total should include any and all assessed fines that have not been paid, and may be found on the most recent Final Board Order sent to your committee. Please be aware this total may not include any fines assessed after the date of the order.
3. Enter the dollar amount (on Line S) being offered by the committee to settle the outstanding fines entered on Line F.
4. Calculate and list the percentage of the outstanding fines that the settlement offer represents. This is determined by dividing the settlement offer (Line S) by the unpaid fine total (Line F) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.
5. Check the box to indicate you have included a check for payment of the settlement amount (optional.) If the settlement offer is rejected, the check will be returned to you.
6. Check the box if you agree to waive your appearance at any hearing held to consider the offer (optional.)

For the next section, it will be necessary to gather information from the Quarterly Reports filed by the Committee for the four most recent quarters (one year total). If amended reports have been filed, please use the most up-to-date information.

5. On Lines B1, B2, B3 and B4, enter the ending balance from the Quarterly Reports filed by the Committee for the four most recent quarters. If the Committee has not been in existence long enough to file four Quarterly Reports, enter the information from as many Quarterly Reports as have been filed by the Committee. Calculate the average ending balance by adding the amounts entered and dividing by the number of quarters for which information has been entered. List the average ending balance on Line AB.
6. On Lines R1, R2, R3 and R4, enter the total receipts from the Quarterly Reports filed by the Committee for the four most recent quarters. If the Committee has not been in existence long enough to file four Quarterly Reports, enter the information from as many Quarterly Reports as have been filed by the Committee. Calculate the average total receipts by adding the amounts entered and dividing by the number of quarters for which information has been entered. List the average total receipts on Line AR.
7. Calculate the ratio of fine to average balance by dividing the unpaid fine total (Line F) by the average ending balance (Line AB) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.
8. Calculate the ratio of fine to average total receipts by dividing the unpaid fine total (Line F) by the average total receipts (Line AR) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.
9. If there are legal reasons, special circumstances or additional information you would like the Board to be aware of when considering this settlement offer, please briefly list them in the box provided.
10. The form must be signed and dated by the Committee's Chairman, Treasurer or Candidate.

STATE BOARD OF ELECTIONS

2329 South MacArthur Blvd.

Springfield, Illinois 62704

217/782-4141

Tom Newman

Director, Division of Campaign Disclosure

To: Members of the Board, Steven S Sandvoss, Executive Director & Ken Menzel, General Counsel

Re: Failure to Appear at Hearings

Date: May 31, 2016

At the May Board meeting, two complaints were dismissed for lack of prosecution, after the complainants failed to appear for closed preliminary hearings. Following the Board's action on those complaints, Member Keith indicated a desire to have some additional discussion on the topic.

If the Board wishes to use a Dismissal for Want of Prosecution (DWP) action on a more regular basis for such situations, there are some internal changes that could easily be made. This could include rewording the notices sent for closed preliminary hearings, making it clearer to the complainant that failure to appear can or will result in the complaint being dismissed – in effect making this outcome automatic, unless the respondent requests a ruling on the merits. The notices already indicate that failure to appear will not prevent the hearing from being conducted, but adding additional language or emphasis would be simple.

I welcome the Board's input and discussion on this topic.

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board, Steve Sandvoss, Executive Director, Ken Menzel, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: June 1, 2016

Listed below are committees that have been assessed a civil penalty for the delinquent filing of Schedule A-1's. These violations were not appealed & should be issued a Final Board Order.

Schedule A-1 (16 AD)			
Cmte No	Cmte Name	Amt of Fine	A-1 %
497	Personal PAC	\$1875	10%

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board, Steven S. Sandvoss, Executive Director, Kenneth R. Menzel, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: June 1, 2016

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	% Reduction	Escheatment
22255	Sam McCann for Senate	\$3000	\$200	\$2200

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS

2329 S. MacArthur Blvd

Springfield, Illinois 62708

217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: June 1, 2016

The following committees have made payment of outstanding civil penalties for the period 5/05/2016 - 6/01/2016

- Wagner for State Central Committee - \$125.00 (\$2.94 credit card fee)
- Wagner for State Central Committee - \$275.00
- Friends of David Staley - \$250.00
- Committee to Elect Peter Michael Gonzalez - \$375.00
- Friends of Miriam Shabo - \$375.00 (\$8.81 credit card fee)
- Working Families of the 33rd Ward - \$759.00 (\$17.84 credit card fee)
- Citizens for McConnell - \$75.00 (\$1.76 credit card fee)
- Friends of Paul Rosenfeld - \$6274.00
- Preckwinkle for President - \$4450.00 (\$104.58 credit card fee)
- Illinois Association of Chiefs of Police PAC - \$150.00 (\$3.53 credit card fee)
- Friends of Tracey Bey - \$900.00
- Friends of Sherman Jones - \$2500.00
- Citizens to Elect Carol Clark - \$375.00
- Citizens for Steven R Burris - \$75.00
- Yes for District 112 - \$725.00
- Northern IL Alliance of Fire Protection District - \$800.00
- Mercer County Democratic Central Cmte - \$100.00
- Citizens to Elect Mark V Ferrante - \$75.00
- Citizens for Bill Lenert - \$375.00 (\$8.81 credit card fee)
- Citizens for David Webb - \$6150.00
- Mercer County Democratic Central Cmte - \$1100.00
- North Suburban Teachers Union COPE - \$250.00
- Tazewell County Republican Central Cmte - \$265.00

TOTAL : \$26,798.00

Monthly Total:

1/1/16 to 2/4/16: \$42,670.82

2/5/16 to 3/2/16: \$8,122.91

3/3/16 to 4/5/16: \$36,337.00

4/6/16 to 5/4/16: \$10,251.00

5/5/16 to 6/1/16: \$26,798.00

Year to Date Total: \$124,179.73

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

MEMORANDUM

To: Chairman Scholz, Vice Chairman Gowen, Members of the Board
Executive Director Steven S. Sandvoss

From: Kenneth R. Menzel, General Counsel

Re: Recommendation of the General Counsel – Support Independent Maps Petition

Date: June 1, 2016

A petition to amend the Illinois Constitution pursuant to the provisions of Article XIV, Section 3 of said Constitution was filed by the Support Independent Maps on May 6, 2016. The staff of the State Board of Elections did a preliminary count of the signatures contained on the petition and determined that it contained a total of 563,974 signatures. A 5% random sample of signatures was examined by said staff and following the verification of the signature sample, it was determined that the petition contained a minimum number of 375,613 and a maximum number of 395,021 unique valid signatures. The statutory minimum number of unique valid signatures to qualify the amendment for placement on the ballot is 290,216. Paragraph XI of the Procedures to Process a Petition to Place a Constitutional Amendment on the Ballot adopted by the Board, provides that if the Board determines based on the evidence that the petition contains a sufficient number of valid signatures to qualify for placement on the November 8, 2016 General Election ballot, the Board shall certify the petition for such placement, subject to the result of any objection filed pursuant to Article 10 and 28 of the Election Code.

I recommend that the Board make a finding that based on the minimum number of unique valid signatures contained in the petition, as determined by SBE staff following the administrative process that was applied to the petition, that the petition be deemed valid at this point. I further recommend that the Board take no additional action at this time, since the overall validity of the petition is potentially subject to the statutory objection procedures contained in Article 10 and 28 of the Election Code, and is currently the subject of pending litigation in the matter of John Hooker, et al., v. ISBE, et al., Cook County Circuit Court No. 16 CH 06539.

Respectfully Submitted,

Illinois State Board of Elections

Brent M. Davis | Director of Election Operations | 217.558.1664 | bdavis@elections.il.gov



To: Steve Sandvoss, Executive Director

Re: Vacancies in Nomination

Date: June 2, 2016

Tuesday, May 31st was the last day for managing committees to fill vacancies for failure to nominate at the March 15 General Primary Election. A total of 13 resolutions to fill vacancies were filed prior to the deadline.

United States Congress

Party	Vacancies in Nomination	Petitions Filed
Republican	4	3
Democrat	3	1

Illinois State Senate

Party	Vacancies in Nomination	Petitions Filed
Republican	20	1
Democrat	10	2

Illinois State Representative

Party	Vacancies in Nomination	Petitions Filed
Republican	44	2
Democrat	30	3

Candidates Filed

Candidate	Office
Ken L. McGraw, Jr. (R)	3 rd Congress
Vince Kolber (R)	5 th Congress
Jeffrey A. Leef (R)	7 th Congress
Junius Rodriguez (D)	18 th Congress
Tracy Smodilla (R)	22 nd Senate
Kelly Mazeski (D)	26 th Senate
Melissa Coyne (D)	32 nd Senate
Richard Evans (R)	43 rd Representative
Steve Swanson (D)	48 th Representative
Valerie L. Burd (D)	50 th Representative
Dennis F. Malak (D)	110 th Representative
Mike Babcock (R)	111 th Representative
Shannon Stoker (D)	23 rd Judicial Circuit – Vacancy A – DeKalb County

The last day to file objections to vacancies in nomination is June 7, 2016.

State Board of Elections Analysis

BILL: Senate Bill 250 **DATE:** May 31, 2016

SENATE SPONSOR: Manar **COMMITTEE:** Executive
Concurrence 14-3

HOUSE SPONSOR: Gabel **COMMITTEE:** Executive
Floor Amend #3 9-1

EFFECTIVE DATE: Sept. 1, 2016/
Jan. 1, 2018

HOUSE THIRD READING VOTE: 86-30 (May 31, 2016)

SENATE THIRD READING VOTE: 50-7 Concur in HFA #3 (May 31, 2016)

COMMENTS: Automatic Voter Registration

EFFECT AS INTRODUCED:

EFFECT AS PASSED COMMITTEE:

DETAIL:

CURRENT LAW:

REASON FOR CHANGE:

PROPOSERS:

NEUTRAL:

COMMENTS:

COMMITTEE AMENDMENT #1

HOUSE FLOOR AMENDMENT #3

The Election Code is amended

1A-16.6

“The State Board of Elections shall establish and maintain a portal for automatic government agency registration...”

Voter registration data received from a designated government agency through the online registration application system shall be processed as provided for in Section 1A-16.5...

This Section pertains to the Secretary of State Driver's Services facilities

(a-5)

- ✓ The agency shall inform the individual in writing of the qualification to vote in IL
- ✓ The agency shall provide an opportunity to attest to meeting those qualification under penalty of perjury
- ✓ The agency shall notify the individual that their information shall be transferred to the SBE for the purpose of creating an electronic voter registration application
- ✓ The individual will only be registered if they meet the required qualifications
- ✓ The agency shall not require the individual to provide duplicate information, except for a signature
- ✓ The agency shall inform the individual, in writing, that declining to register is confidential and will not affect any service they are seeking from the agency
- ✓ The agency shall inform the individual that the identity of the agency shall remain confidential
- ✓ The agency shall collect all needed information for voter registration
- ✓ The individual shall not be required to disclose the reason for declining, including citizenship status
- ✓ The agency shall electronically transfer to the SBE personal relevant information to voter registration, including all records of documents relating to identity, address and citizenship
- ✓ The SOS shall send this information to the SBE for every applicant regardless of whether or not the individual attested to their ability qualifications to register to vote
- ✓ All other government agencies shall send this information only if the individual attested that they are eligible to vote
- ✓ The SBE shall electronically transfer voter registration information to the appropriate local election authority

This Section pertains to the other designated government agencies

(a-10)

- ✓ When receiving information from designated government agencies, the SBE shall check this information against the statewide voter database
- ✓ The SBE shall electronically transmit to the local election authority all information for those not registered in Illinois and who are not disqualified as provided in Section (a-15/green cards)
- ✓ OR whose information indicates a more recent update to the name or address of a person already included in the database, whether or not the individual attested to his/her eligibility to register to vote
- ✓ The election authority shall process the application accordingly

(a-15)

- ✓ If the SBE determines that the information collected by the government agency of an individual who attested to his/her ability to register to vote, includes evidence that the individual is not a citizen; (such as a green card, etc.) then the SBE shall not create a registration application
- ✓ The SBE shall notify the person of the reason his/her application is incomplete

(a-20)

- ✓ Unless the application is incomplete under Section (a-15/green card), the SBE shall electronically transmit to the local EA a voter registration application for any individual who has attested to meeting eligibility requirements
- ✓ If the information does not make it clear that the person is qualified to register then the person's attestation shall be deemed sufficient evidence of meeting the registration qualifications and the EA shall process the application accordingly

(a-25)

- ✓ The local EA shall ensure that any applicant who is registered to vote or whose registration is updated under this Section is sent written notice
- ✓ The notice may be sent by electronic mail
- ✓ The notice may be combined with other notices required by law
- ✓ Any notice shall contain:
 - The applicants name, DOB, residential address
 - Statement that the applicant will be registered/updated unless they decline
 - Statement of qualifications to be a voter
 - Statement, in larger text than the rest of the notice, that it is illegal for a person who is not qualified, to vote in the next election
 - Statement notifying the applicant that if they do not meet the qualifications to be a voter, they are to opt out and may do so by returning a prepaid postcard, opting out online or by contacting the local EA; and stating that the applicant does not have to provide a reason they are declining to register or disclose citizenship status
 - Statement asking the applicant to contact the local EA if their registration has been updated in error
 - Statement notifying the applicant that they may opt out of voter registration or request a change to their registration, at any time by contacting their local EA
 - Contact information for the appropriate election authority, including a phone number, address, e-mail and website address
 - Statement notifying the applicant that some information may be subject to public disclosure unless protected under an address confidentiality program
 - Any other information necessary to fulfill local, state or federal law

(a-30)

- ✓ The local EA shall ensure that any application not accepted or deemed incomplete is sent written notice
- ✓ The notice may be sent by electronic mail
- ✓ The notice may be sent or combined with other notices required or permitted by law
- ✓ The notice must contain the reason the application was not accepted and contact information for the appropriate EA including phone number, address, email and website

(a-35)

- ✓ If a government agency transfers information or if the SBE transfers information for a person who does not qualify as an eligible voter, it shall not constitute a completed voter registration form and the person shall not be considered registered to vote
- ✓ If the registration is processed by the EA then the person shall not be found on that basis to have made a false claim to citizenship or to have committed an act of moral turpitude, nor shall that person be subject to penalty under law.
- ✓ This subsection does not apply to any person who knows that they are ineligible to vote and who knowingly and willfully registered to vote or attests under penalty of perjury that they are eligible to vote

(a-40)

- ✓ No employee of a designated government agency shall transmit to the SBE personal information for any person who is issued/who applies for a temporary visitor's driver's license.

(a-45)

- ✓ If a registration is changed from one address to another within the State and the voter appears at the polls and wishes to vote from the prior registration address, attesting that this is the true address, the voter, if confirmed by the EA as having been registered at the prior address, shall be issued a regular ballot and the change of address shall be canceled
- ✓ If the EA is unable to immediately confirm the registration, the voter shall be permitted to register and vote a regular ballot, provided they meet the requirements for same-day registration.
- ✓ If the EA is unable to confirm registration and the voter does not meet the same-day registration requirements, the voter shall be issued a provisional ballot and the ballot shall be counted as provided under Article 18A.
- ✓ No voter shall be disqualified from voting due to an error relating to an update of registration made under this Section.

(b)

- ✓ When data is transferred from a government agency, a signature image must also be transferred, if available.
- ✓ If no signature image is available, the registration will remain in pending status and the applicant will be required to provide identification that complies with The Help America Vote Act of 2002 and a signature to the EA on Election Day in the polling place or during early voting.

(b-5)

Policies and procedures shall be implemented to protect the privacy and security of all voter information

(c)

- ✓ Procedures implemented under this Section shall comport with NVRA
- ✓ The SBE shall track registration data received from the government agencies

(d)

- ✓ The SBE shall submit an annual report to the General Assembly and Governor
- ✓ The report shall include:
 - Number of records transferred by agency
 - Number of voters newly added by agency
 - Number of updated registrations by agency
 - Number of persons who opted out
 - Number of voters who submitted form online (Section 1A-16.5)

(d-5)

- ✓ The SBE, all EA's that maintain a website, and each government agency that maintains a website, shall provide information on their website about the new registration procedures described in this Section
- ✓ Each government agency shall display signage or provide literature for the public containing information about the new registration procedures

(d-10)

No later than January 1, 2017, the SBE shall hold at least one public hearing on implementing this Act

(e)

The SBE shall adopt Rules as necessary to implement the provisions of this Section

(e-5)

Subsections (a-5) through (a-45) and subsection (b-5) shall be implemented no later than January 1, 2018

(f)

A designated government agency is: Secretary of State's Driver Services, the Department of Human Services, the Department of Healthcare and Family Services, the Department of Employment Security, The Department of Aging, any federal source that agrees to submit personal identification information to the State for voter registration purposes and any other reliable State government source the State Board of Elections may designate.

1A-16.6

- ✓ "Automatic transfer of registration based upon information from the NCOA database and the designated government agencies..."
- ✓ Beginning no later than September 1, 2016, the SBE shall cross check the statewide voter database, with the databases of the Secretary of State and other designated agencies, at least 6 times each year as provided by our participation in ERIC .
- ✓ Findings shall be shared with the local EA's
- ✓ An EA shall automatically register any voter who has moved into its jurisdiction from another jurisdiction in IL, if the voter meets certain criteria
- ✓ If a registration is changed from one address to another within the State and the voter appears at the polls and wishes to vote from the prior registration address, attesting that

- this is the true address, the voter, if confirmed by the EA as having been registered at the prior address, shall be issued a regular ballot and the change of address shall be canceled
- ✓ If the EA is unable to immediately confirm the registration, the voter shall be permitted to register and vote a regular ballot, provided they meet the requirements for same-day registration.
 - ✓ If the EA is unable to confirm registration and the voter does not meet the same-day registration requirements, the voter shall be issued a provisional ballot and the ballot shall be counted as provide under Article 18A.
 - ✓ No voter shall be disqualified from voting due to an error relating to an update of registration made under this Section.

The Illinois Vehicle Code is amended

625 ILCS 5/2-105

Offices of Secretary of State

State Board of Elections Analysis

BILL: Senate Bill 1529 **DATE:** 05.25.16

SENATE SPONSOR: Link **COMMITTEE:** Executive
Concurrence 16-1

HOUSE SPONSOR: Currie **COMMITTEE:** Executive
HFA #3 11-0

EFFECTIVE DATE: Immediate

HOUSE THIRD READING VOTE: 115-3 (May 31, 2016)

SENATE THIRD READING VOTE: 53-5 (May 31, 2016)

COMMENTS: Omnibus Elections Bill

EFFECT AS INTRODUCED:

EFFECT AS PASSED COMMITTEE:

DETAIL:

CURRENT LAW:

REASON FOR CHANGE:

PROPONENTS:

NEUTRAL:

COMMENTS:

HOUSE FLOOR AMENDMENT #3

The Election Code is amended

1-3

(24) Adds definition for “digitized” signature

Authorizes election authorities to capture and store registration and other election related signatures digitally and eliminate paper voter cards. This definition does not apply to a candidate nominating or referendum petition.

See HB 5916/Butler; SB 2836/Manar

(25) Adds definition for “intelligent mail barcode tracking system” used in Articles 19 and 20. Allows an election authority to determine the date the envelope was mailed in the absence of a postmark.

Authorizes voluntary use of Bar Codes in lieu of postmarks on pre-paid vote-by-mail ballots to determine the date a ballot was mailed. The Post Office no longer affixes postmarks to large flat pieces or pre-paid postage. This is an issue for election jurisdictions that provide large or pre-paid envelopes.

1-12

Public University Voting

Adds the word “student”

Clarifies that “student union” means the Southern Illinois University at Carbondale Student Center, currently just SIUC Center

See HB 5582/Currie

1-13

Forms of Signature

Adds “digitized” signature

Authorizes election authorities to capture and store registration and other election related signatures digitally, but not including a candidate nominating petition or a referendum petition and eliminate paper voter cards

See HB 5916/Butler; SB 2836/Manar

1A-16.5

Online Voter Registration

Applications for voter registration filed on the SBE’s website will be deemed timely if submitted on the 16th day prior to an election (currently, submitted on the final day for voter registration)

See HB 5677/Nekritz; HB 5916/Butler; SB 2836/Manar

1A-16.8

National Change of Address

Removes the requirement that the provisional ballot be automatically counted.

Removes language providing for the counting of provisional ballots issued by an EA that is unable to immediately confirm the registration.

See HB 5582/Currie

1A-50

The ERIC Operations Trust Fund

Creates the Fund as a non-shared Trust Fund to comply with the requirements of PA 98-1171 mandating the State Board of Elections to join ERIC. This is necessary so the SBE can access the \$420,000

grant and use the funds for costs and expenses related to participation in the Electronic Registration Information Center.

See HB 558

4-8

Voter Registration

Adds “digitized” signature

4-20

Master Voter File

The Master Voter File may be kept in a computer based file or paper format, provided that a secondary digital back-up is kept off site. The digital file shall be searchable and remain current.

See HB5916; SB 2836

4-33

Registration and Cancellation

Adds “digitized” signatures

5-7

Voter Registration

Adds “digitized” signature

5-28

Master Voter File

The Master Voter File may be kept in a computer based file or paper format, provided that a secondary digital back-up is kept off site. The digital file shall be searchable and remain current.

See HB5916; SB 2836

5-43

Registration and Cancellation

Adds “digitized” signatures

6-35

Voter Registration

Adds “digitized” signature

6-65

Master Voter File

The Master Voter File may be kept in a computer based file or paper format, provided that a secondary digital back-up is kept off site. The digital file shall be searchable and remain current.

See HB5916; SB 2836

6-79

Registration and Cancellation

Adds “digitized” signatures

7-9

County central committee

Eliminates the requirement that political parties hold state conventions

“...if the party chooses to hold a state convention”

Democrats elect delegates at the March primary election, they have no reason to hold a state convention

9-3

Political Committee Statement of Organization

Removes section requiring separate filings of a statement of organization if a committee acts as both a state and local committee

PA 96-832 eliminated the distinction between the two

10-6

Time and Manner of Filing

Provides that certificates of nomination and nomination papers for the candidates for school district offices to be filled at consolidated elections shall be filed with the county clerk or county board of election commissioners.

See HB 2918/Currie; HB 3302/Nekritz; HB 5582/Currie

19-3

Vote By Mail

Removes “midnight preceding”

Clean-up of PA 98-1171 to correct various sections, including corrections to provide that the deadline for postmarking a vote by mail ballot is Election Day rather than the midnight before

HB 5582/Currie

19-4

Mailing or delivery of ballots

Adds “Friday”

Makes consistent the timeline for mailing vote by mail ballots for physically incapacitated electors by allowing these electors’ ballots to be transmitted on the Friday, Saturday, Sunday or Monday immediately preceding the election. Currently, voting by physically incapacitated voters can take place Friday, but the law only allows the transmitting of ballots on Saturday, Sunday and Monday.

HB 5582/Currie

19-8

Time and place of counting ballots.

“The vote by mail ballot may be processed by the election authority beginning on the ~~15th day~~ before election day it is received by the election authority in the central ballot counting location of the election authority...”

See HB 5916/Butler; SB 2836/Manar

To provide that in the absence of a postmark or barcode, the vote by mail ballot is to be counted if the certification date is Election Day or earlier, rather than “a date preceding the Election Day”

If using a bar code system, a ballot mailed to the EA absent a postmark may be counted if the barcode verifies the envelope was mailed no later than Election Day.

20-2

Military voting

Changes Article 20 to be consistent with Article 19 allowing military vote by mail ballots be postmarked no later than Election Day (as opposed to midnight preceding Election Day)

See HB 5582/Currie; SB 2747/Bush; HB 4653/Mayfield; HB 5743/Jesiel; SB 2597/Althoff

20-2.1

Military voting

Changes Article 20 to be consistent with Article 19 allowing military vote by mail ballots be postmarked no later than Election Day (as opposed to midnight preceding Election Day)

See HB 5582/Currie; SB 2747/Bush; HB 4653/Mayfield; HB 5743/Jesiel; SB 2597/Althoff

20-2.2

Military voting

Changes Article 20 to be consistent with Article 19 allowing military vote by mail ballots be postmarked no later than Election Day (as opposed to midnight preceding Election Day)

See HB 5582/Currie; SB 2747/Bush; HB 4653/Mayfield; HB 5743/Jesiel; SB 2597/Althoff

20-2.3

Military voting

Changes Article 20 to be consistent with Article 19 allowing military vote by mail ballots be postmarked no later than Election Day (as opposed to midnight preceding Election Day)

See HB 5582/Currie; SB 2747/Bush; HB 4653/Mayfield; HB 5743/Jesiel; SB 2597/Althoff

20-3

Military voting

Changes Article 20 to be consistent with Article 19 allowing military vote by mail ballots be postmarked no later than Election Day (as opposed to midnight preceding Election Day)

See HB 5582/Currie; SB 2747/Bush; HB 4653/Mayfield; HB 5743/Jesiel; SB 2597/Althoff

20-6

REPEALED

(Currently, this legislation reads that the ballot may be returned: in person, by the spouse, parent, child, brother or sister of the voter; or by a licensed motor carrier)

20-8

Time and place of counting ballots.

“The vote by mail ballot may be processed by the election authority beginning on the ~~15th-day before election day~~ it is received by the election authority in the central ballot counting location of the election authority...”

See HB 5916/Butler; SB 2836/Manar

To provide that in the absence of a postmark or barcode, the vote by mail ballot is to be counted if the certification date is Election Day or earlier, rather than “a date preceding the Election Day”

If using a bar code system, a ballot mailed to the EA absent a postmark may be counted if the barcode verifies the envelope was mailed no later than Election Day.

(d) Special write-in ballots are counted if postmarked by “no later than election day”, currently “by midnight preceding the opening of the polls”

HB5582/Currie

20-10

Pollwatchers

Will now be consistent with Section 19-10 (eliminating language that gave pollwatchers the right to challenge absentee/vote by mail ballots for cause to be applied to military and overseas votes as well)

21-1

Choosing Electors of President and Vice-President

“Each political party shall choose by its state Convention or State central committee, electors of President and Vice-President...”

24C-12

Procedures for Counting and Tallying of Ballots

Extending sunset for the Peoria County Board of Election Commissioners to continue tabulating fractional cumulative votes for Peoria city elections at its central office rather than in-precinct. This is necessary to comply with a federal court order.

See SB 2468 Koehler/Gordon-Booth

29-5

Voting More than once.

“Any person who, having voted once, knowingly during any election...files an application to vote in the same or another police place...shall be guilty of a Class 3 felony...”

Clarify that knowingly voting more than once during any election season is a Class 3 felony, currently only if a person votes on the same Election Day.

HB 5582/Currie

The Township Code is amended

45-20

“The township clerk shall certify the candidates so nominated to the proper election authorities not less than 68 ~~64~~ days before the township election...”

See HB 4668 Currie/Harmon

This is a change which should have occurred in the omnibus bill of 2010 (SB 3012; PA 96-1008)

The School Code is amended

9-10

Eliminates the election authorities “duty to reject” nomination petitions for school board that do not comply with law

See HB 2918/Currie; HB 5581/Currie; HB3302/Nekritz; SB 2745/Harmon

Blue language – was sent to the Governor’s Office on April 14 for pre-approval



Illinois General Assembly

Elections 2015 - 2016

[Help](#)

Report Options

HB0038	Lou Lang	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0039	Lou Lang	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0040	Lou Lang	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0126	Dwight Kay	E. ST LOUIS BD OF ELECT COMM	01/14/2015 House Referred to Rules Committee
HB0193	Scott Drury	ELEC CD-PRIMARY DATE	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0194	Scott Drury	ELEC CD-OPEN PRIMARY	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0260	Joe Sosnowski	LOCAL GOV-REDUCE STIPENDS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0262	Joe Sosnowski	ELEC CD-PRESIDENT	01/23/2015 House Referred to Rules Committee
HB0296	Ron Sandack	ELEC-TIME OF SCH BD ELEC	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0327	Barbara Flynn Currie	ELEC CD-HOSPITAL & MENTAL INS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB0347	David B. Reis	ELECTIONS-CONTRIBUTION REPORT	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0431	La Shawn K. Ford	ELEC CD-PHOTOGRAPH BALLOT	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0471	Jack D. Franks	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0472	Jack D. Franks	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0480	Jack D. Franks	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0495	Ron Sandack	ELEC-TIME OF SCH BD ELEC	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0557	Robert Martwick (Kwame Raoul)	ELECTIONS-TECH	03/04/2016 Senate Referred to Assignments
HB0558	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0559	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0560	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0561	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0562	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0563	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0564	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0566	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0567	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0568	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0569	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0570	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0571	Michael J. Madigan	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB0574	Michael J. Madigan (John J. Cullerton)	STATE GOVERNMENT-TECH	06/23/2015 Senate Referred to Assignments
HB1000	Michael J. Madigan	HEALTH-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1001	Michael J. Madigan	HEALTH-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1002	Michael J. Madigan	HEALTH-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1006	Michael J. Madigan	HEALTH-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1007	Michael J. Madigan	HEALTH-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1322	Barbara Wheeler	EARLY VOTING-GOOD FRIDAY	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1401	Thaddeus Jones	ALDERMAN & TRUSTEE ELECTIONS	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB1419	Mike Fortner	ELEC CD-OBJECTOR'S PETITION	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee

HB1440	Jack D. Franks	ELEC CD-PUBLIC CORRUPT CRIME	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1452	^h Lawrence Walsh, Jr. (Don Harmon)	ELEC CD-FOREIGN LANG BALLOTS	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
HB1492	La Shawn K. Ford	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1505	La Shawn K. Ford	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1506	La Shawn K. Ford	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1507	La Shawn K. Ford	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1508	La Shawn K. Ford	ELECTIONS-TECH	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1559	Jack D. Franks	ELEC CD-REDISTRICTING	02/09/2015 House Referred to Rules Committee
HB1561	Keith R. Wheeler	ELEC CD-PETITION GENERATOR	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1563	Bill Mitchell	ELEC CD-FRAUDULENT VOTING	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1837	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1862	Jeanne M Ives	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1863	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB1921	Jim Durkin	\$BD ELECTIONS-TECH	04/28/2016 House Rule 19(a) / Re-referred to Rules Committee
HB2156	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2274	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2275	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2276	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2277	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2278	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2279	Jim Durkin	ELECTIONS-TECH	04/24/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2334	Jim Durkin	\$BD ELECTIONS-TECH	04/28/2016 House Rule 19(a) / Re-referred to Rules Committee
HB2666	Linda Chapa LaVia	ELEC CD-EQUIPMENT/AUDITS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2719	Mike Fortner	ELEC CD-TOP TWO PRIMARY	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2756	Thomas M. Bennett	ELECTIONS VOTER PHOTO ID	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2798	Thomas M. Bennett	ELEC CD-EARLY VOTING	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2918	Barbara Flynn Currie	ELEC CD-SCHOOL DIST ELECTIONS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2936	Mark Batinick	SAME-DAY VOTER REGISTRATION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB2937	Mark Batinick	ELEC CD-LOCAL GOVT RECALLS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB2949	^h Michael J. Madigan	\$STATE BOARD OF ELECTIONS	04/28/2016 House Rule 19(a) / Re-referred to Rules Committee
HB2993	Michael J. Madigan	\$BD ELECTIONS-TECH	04/28/2016 House Rule 19(a) / Re-referred to Rules Committee
HB3094	Michael W. Tryon	ELECTED OFFICIAL VACANCY ACT	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3107	Steven A. Andersson	ELEC ED-GEN PRIMARY DATE	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3145	Carol Sente	ELEC CD-VOTING-CONSOLIDATED	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3156	Will Guzzardi	ELEC CD-PETITION VALIDITY	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3173	Mike Fortner	ELEC CD-VOTING RIGHTS ACT	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3250	Steven A. Andersson	ELEC CD-PETITION VALIDITY	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3302	Elaine Nekritz	ELEC CD-BD OF EDU ELECTIONS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3338	Michael W. Tryon	ELEC CD-CLUSTERED PRECINCTS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3368	Deb Conroy	VOTING AGE-DEPUTY REGISTRAR	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3403	Linda Chapa LaVia	IL CLEAN ELECTIONS ACT	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3405	Linda Chapa LaVia	ELEC CD-EQUIPMENT/AUDITS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3591	Jeanne M Ives	ELEC CD-OMNIBUS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3663	C.D. Davidsmeyer	POL CONTRIBUTION LIMITS	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB3697	Barbara Flynn Currie		03/27/2015 House Rule 19(a) / Re-referred to Rules Committee

		COM COL-ELECT TRUSTEE-NOMINATE	
HB3952	Joe Sosnowski	ELEC CODE-VOTE SHAMING	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB4078	Stephanie A. Kifowit (Julie A. Morrison)	ETHICS-LATE TERM APPOINTEE DEF	08/26/2015 House Public Act 99-0466
HB4100	Dwight Kay	ELECTIONS-VOTER ID	03/27/2015 House Rule 19(a) / Re-referred to Rules Committee
HB4137	Barbara Flynn Currie (William R. Haine)	FIRST 2015 GENERAL REVISORY	07/20/2015 House Public Act 99-0078
HB4208	Robyn Gabel	AUTOMATIC VOTER REGISTRATION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4219	La Shawn K. Ford	NO REPRESENTATION WITHOUT POP	05/19/2015 House Referred to Rules Committee
HB4236	La Shawn K. Ford	PUBLIC QUESTION-VOTING RIGHTS	06/30/2015 House Referred to Rules Committee
HB4312	Robert Martwick (Kwame Raoul)	COM COL-CHICAGO-ELECT BOARD	05/19/2016 Senate Referred to Assignments
HB4356	La Shawn K. Ford	CHICAGO MAYOR RECALL ELECTION	01/13/2016 House Referred to Rules Committee
HB4471	Elaine Nekritz	ELEC CD-REPORTING	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4549	David B. Reis	ELECTIONS-CONTRIBUTION REPORT	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4556	Thomas M. Bennett	ELEC CD-EARLY VOTING	01/27/2016 House Referred to Rules Committee
HB4644	Dan Brady	PROCUREMENT CODE-VARIOUS	02/05/2016 House Referred to Rules Committee
HB4653	Rita Mayfield	ELEC CD-VOTE BY MAIL DEADLINE	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4662	Jay Hoffman	ELEC CD-NUMBER OF ELEC JUDGES	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4668	Barbara Flynn Currie (Don Harmon)	TWP CD-CANDIDATE CERT DATE	05/10/2016 Senate Placed on Calendar Order of 3rd Reading May 11, 2016
HB4673	Charles Meier	JUDICIAL ELECTION/RETENTION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4699	Eddie Lee Jackson, Sr.	INTERNET VOTING COMMISSION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4718	Jim Durkin	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4719	Jim Durkin	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4720	Jim Durkin	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4721	Jim Durkin	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4722	Jim Durkin	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4963	Thomas M. Bennett	ELECTIONS VOTER PHOTO ID	02/05/2016 House Referred to Rules Committee
HB4971	Mike Fortner	ELEC CD-OBJECTORS PETITION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB4972	Mike Fortner	ELEC CD-ONLINE PUBLISHING	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5099	Michael J. Madigan	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5100	Michael J. Madigan	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5101	Michael J. Madigan	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5102	Michael J. Madigan	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5103	Michael J. Madigan	ELECTIONS-TECH	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5540	Barbara Flynn Currie (William R. Haine)	FIRST 2016 GENERAL REVISORY	05/25/2016 House Passed Both Houses
HB5581	Barbara Flynn Currie	ELEC CD-PETITIONS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5582	Barbara Flynn Currie	ELEC CD-VARIOUS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
			02/10/2016 House Referred to Rules Committee

HB5677	Elaine Nekritz	ELEC CD-ONLINE REGISTRATION	
HB5678	Elaine Nekritz	ELEC CD-EARLY VOTING	02/10/2016 House Referred to Rules Committee
HB5725	Luis Arroyo	SEX OFFENDER-ELEC PETITIONS	04/08/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5743	Sheri Jesiel	ELEC CD-MILITARY VOTE DEADLINE	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5787	Jeanne M Ives	ELEC CD-OMNIBUS	02/11/2016 House Referred to Rules Committee
HB5916	Tim Butler	ELEC CD-ONLINE REGISTRATION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5922	Mike Fortner	ELEC CD-VERIFIED COMPLAINTS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5923	Mike Fortner	ELEC CD-TOP TWO PRIMARY	02/11/2016 House Referred to Rules Committee
HB5932	Robyn Gabel	ELEC CD-REGISTRATION DATABASE	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5953	C.D. Davidsmeyer	POL CONTRIBUTION LIMITS	02/11/2016 House Referred to Rules Committee
HB5981	Mike Fortner	ELEC CD-ELECTRONIC FILING	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5982	Tom Demmer	CAMPAIGN FUNDS-FORFEIT-FELONY	02/11/2016 House Referred to Rules Committee
HB5983	Chad Hays	ELEC CD-CLUSTERED PRECINCTS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB5999	Christian L. Mitchell	AUTO VOTER REG-PILOT PROGRAM	02/11/2016 House Referred to Rules Committee
HB6050	Jaime M. Andrade, Jr.	ELECTIONS-NEW MOTOR VOTER	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB6167	^b Carol Sente (Terry Link)	SUFFRAGE AT SEVENTEEN	05/31/2016 House Passed Both Houses
HB6177	Ron Sandack	ELEC CD-VIOLATIONS	02/11/2016 House Referred to Rules Committee
HB6207	Grant Wehrli	ELEC CD-EXPENSE PHOTOS	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB6211	Carol Ammons	VEH CD-VOTER REGISTRATION	04/22/2016 House Rule 19(a) / Re-referred to Rules Committee
HB6431	Michael J. Madigan	\$STATE BOARD OF ELECTIONS	04/06/2016 House Assigned to Appropriations-General Services Committee
HB6447	Michael J. Madigan	\$BD ELECTIONS-TECH	04/06/2016 House Assigned to Appropriations-General Services Committee
SB0037	Daniel Biss	FREE CAMPAIGN AIR TIME	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB0248	^s Julie A. Morrison (Barbara Flynn Currie)	ELECTION-INDEP EXPENDITURES	08/21/2015 Senate Public Act 99-0437
SB0249	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0250	^b Andy Manar (Robyn Gabel)	GOVT AGENCY VOTER REGISTRATION	05/31/2016 Senate Passed Both Houses
SB0251	John J. Cullerton	ELECTIONS-TECH	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB0252	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0253	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0254	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0255	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0256	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0257	John J. Cullerton	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB0584	John J. Cullerton	STATE GOVERNMENT-TECH	04/13/2016 Senate Placed on Calendar Order of 3rd Reading April 14, 2016
SB0669	^s Thomas Cullerton (Ron Sandack)	SCHOOL BOARD MEMBERS-ELECTION	04/23/2015 House Referred to Rules Committee
SB0759	Julie A. Morrison	ELEC CD-OPEN PRIMARY	04/24/2015 Senate Rule 3-9(a) / Re-referred to Assignments
SB0798	Darin M. LaHood	ELEC CD-DECEASED VOTERS	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB0822	Napoleon Harris, III	ELECTIONS-TECH	02/05/2015 Senate Referred to Assignments
SB0823	Napoleon Harris, III	ELECTIONS-TECH	02/05/2015 Senate Referred to Assignments

SB1000	Christine Radogno	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB1001	Christine Radogno	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB1002	Christine Radogno	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB1006	Christine Radogno	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB1007	Christine Radogno	ELECTIONS-TECH	04/21/2015 Senate Re-referred to Assignments
SB1050	Pamela J. Althoff	GOVERNMENT-TECH	04/13/2016 Senate Placed on Calendar Order of 3rd Reading April 14, 2016
SB1168	Jason A. Barickman	GOVERNMENT-TECH	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB1232	Kwame Raoul	ELECTIONS-TECH	02/11/2015 Senate Referred to Assignments
SB1233	Kwame Raoul	ELECTIONS-TECH	02/11/2015 Senate Referred to Assignments
SB1234	Kwame Raoul	ELECTIONS-TECH	02/11/2015 Senate Referred to Assignments
SB1264	Daniel Biss	ELEC CD-PETITION VALIDITY	04/24/2015 Senate Rule 3-9(a) / Re-referred to Assignments
SB1265	^b Daniel Biss (Elaine Nekritz)	ONLINE VOTER REGISTRATION	07/31/2015 Senate Public Act 99-0221
SB1276	Heather A. Steans	ELECTIONS-TECH	02/17/2015 Senate Referred to Assignments
SB1339	^s Scott M. Bennett (Katherine Cloonen)	OPEN MEETINGS- VIDEOS&AGENDAS	04/17/2015 House Referred to Rules Committee
SB1404	Linda Holmes (Robert W. Pritchard)	FINANCIAL REPORTING STDs-AUDIT	04/21/2015 House Referred to Rules Committee
SB1519	Michael Noland	ELEC CD-EQUIPMENT/AUDITS	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB1520	Michael Noland	IL CLEAN ELECTIONS ACT	04/24/2015 Senate Rule 3-9(a) / Re-referred to Assignments
SB1529	^h Terry Link (Barbara Flynn Currie)	ELEC CD-SPECIAL ELECTIONS	05/31/2016 Senate Passed Both Houses
SB1566	Michael Connelly	ELEC CD-POLLING PLACES	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB1715	Chapin Rose	ELEC CD-CLUSTERED VOTING ZONES	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB1716	Chapin Rose	ELEC CD-VOTER SHAMING	10/10/2015 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
SB2035	^s John J. Cullerton (Michael J. Madigan)	\$STATE UNIVERSITIES CIVIL SERV	07/15/2015 Senate Total Veto Stands
SB2048	^b John J. Cullerton (Barbara Flynn Currie)	\$ICCB-IMSA-UNIV-ISAC-MAP	05/26/2016 Senate Placed on Calendar Order of Concurrence House Amendment(s) 1, 2 - May 27, 2016
SB2076	John J. Cullerton	\$STATE BOARD OF ELECTIONS	03/13/2015 Senate Referred to Assignments
SB2134	Andy Manar	VEH CD-VOTER REGISTRATION	04/22/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2254	Napoleon Harris, III	LOCAL OFFICIAL RECALL	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2468	David Koehler (Jehan Gordon-Booth)	ELEC CD-TABULATION OF VOTES	05/26/2016 Senate Passed Both Houses
SB2534	Pamela J. Althoff	ELECTIONS-TECH	02/16/2016 Senate Referred to Assignments
SB2535	Michael Connelly	ELEC CD-PHOTOGRAPHING BALLOTS	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2597	Pamela J. Althoff	ELEC CD-VOTE BY MAIL DEADLINE	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2745	Don Harmon	ELEC CD-PETITIONS	04/22/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2747	Melinda Bush	ELEC CD-VARIOUS	05/27/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2836	Andy Manar	ELEC CD-ONLINE REGISTRATION	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2874	Daniel Biss	ELEC CD-REPORTING	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB2877	Chris Nybo	ELEC CD-VIOLATIONS	05/13/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB3128	Wm. Sam McCann	NAMING PUBLIC BLDGS- OFFICIALS	04/22/2016 Senate Rule 3-9(a) / Re-referred to Assignments
SB3318	Scott M. Bennett	VOTE CENTERS-PILOT PROGRAM	05/27/2016 Senate Rule 3-9(a) / Re-referred to Assignments
HJR0154	Brandon W. Phelps (William R. Haine)	TRANSPORTATION FUNDS- ARGUMENTS	05/31/2016 House Adopted Both Houses

HJRCA0002	Ron Sandack	CON AMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0018	Scott Drury	CON AMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0027	Steven A. Andersson	CONAMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0031	Mike Smiddy	CONAMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0036	h Brandon W. Phelps (William R. Haine)	CON AMEND-REVENUE-ROAD FUND	05/05/2016 House	Adopted Both Houses
HJRCA0040	Jim Durkin	CONAMEND-LEGISLATIVE REDISTRCT	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0042	Jim Durkin	CONAMEND-LEGISLATIVE REDISTRCT	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0045	Mike Smiddy	CONAMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
HJRCA0049	Ron Sandack	CON AMEND-REDISTRICTING	05/06/2016 House	Rule 19(a) / Re-referred to Rules Committee
SJ0029	s Emil Jones, III (La Shawn K. Ford)	IL COMPLETE COUNT COMMISSION	11/10/2015 Senate	Adopted Both Houses
SJ0015	Christine Radogno	CONAMEND-LEGISLATIVE REDISTRCT	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0017	Christine Radogno	CONAMEND-LEGISLATIVE REDISTRCT	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0019	Melinda Bush	CON AMEND-TERM LIMITS-VARIOUS	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0020	Michael Noland	CONAMEND- TERM LIMITS	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0021	Jennifer Bertino-Tarrant	CONAMEND- LEGISLATURE LIMITS	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0022	Napoleon Harris, III	CON AMEND-RECALLS	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0023	Heather A. Steans	TERM LIMITS	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0027	Steven M. Landek	CON AMEND-ABOLISH LT GOVERNOR	04/14/2016 Senate	To Subcommittee on Constitutional Amendments
SJ0029	Thomas Cullerton	CON AMEND-ABOLISH LT GOVERNOR	04/21/2016 Senate	Third Reading - Lost; 021-028-004

Elections 2015 - 2016 Totals: 213 - (House Bills: 139) (Senate Bills: 54) (Other Bills: 20)

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Illinois State Board of Elections

Brent M. Davis | Director of Election Operations | 217.558.1664 | bdavis@elections.il.gov



To: Steve Sandvoss, Executive Director

Re: State Employee Retirement System Board Trustee Election

Date: June 2, 2016

The 2016 State Employees' Retirement System Board of Trustees Annuitant Trustee election was held from May 2 through May 31, 2016. Two candidates were on the ballot, with one to be elected to one annuitant trustee seat.

Jamye Sims managed this process throughout the month of May, processing and sorting ballots on a daily basis. She did an incredible job of documenting and organizing the entire process.

Ballots Mailed:	56,403
Ballots Returned:	14,523
Rejected Ballots (overvoted, blank, etc.):	33
Total Ballots Counted:	14,490

The results of the election were canvassed on June 1, 2016:

Candidate	Votes Received
John Tilden	8,821
Bruce Strom	5,669

John Tilden will begin a five year term on July 14, 2016.

Start Date	End Date	Division	Activity
6 /1 /2016		ELEC OP	Complete compiling the PARTY OFFICIALS INFORMATION received from (project started 3/15/16) Information to be provided on disc and posted 5/1A-8 (1,2,6,11)
6 /1 /2016		ELEC OP	Begin review and update of DEPUTY REGISTRAR GUIDELINES publication
6 /1 /2016		ELEC OP	Begin review and update of SBE GUIDE FOR POLLWATCHERS 10 ILCS 5/1A-8 (1,2,6,11)
6 /1 /2016		ELEC OP	Begin review and update MILITARY/OVERSEAS publication 10 ILCS 5/1A-8 (1,2,6,11)
6 /1 /2016		ELEC OP	Begin review and update of all agency BROCHURES 10 ILCS 5/1A-8 (1,2,6,11)
6 /7 /2016		ELEC OP	Last day to file objections to resolutions to fill vacancies in nomination nominated at the General Primary Election. 10 ILCS 5/10-8
6 /9 /2016		ELEC OP	Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8
6 /9 /2016		VRS	Send Letter to the Election Authorities requesting Polling Place Accessibility information. (Rules and Regulations)
6 /10/2016		LEG	Begin work on post session bill recommendations to the Board and the General Assembly. 10 ILCS 5/1A-8 (8)
6 /15/2016		CAMP DISC	The last day that the State Board of Elections shall notify political committees of their obligation to file the 2016 JUNE QUARTERLY CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15
6 /20/2016	6 /27/2016	ELEC OP	Filing period for new party candidates and independent candidates. 10 ILCS 5/7-2, 10-2
6 /21/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
6 /22/2016	6 /29/2016	CAMP DISC	Send notice of obligation to new party candidates who file petitions by June 22, 2016 within two business days of the date and hour filed. 10 ILCS 5/9-16.
6 /24/2016		VRS	Send notice to election authorities who failed to submit a computerized voter registration May 15, 2016 submission. Rules and Regulations
6 /24/2016		VRS	Send to each election authority a current computerized voter registration May 15, 2016 submission

Start Date	End Date	Division	Activity
7 /1 /2016	12/31/2016	CAMP DISC	Year round reporting of any independent expenditure of \$1,000 or more by a political committee, must be reported electronically within five business days after the expenditure. If such independent expenditures are made in the 60 days prior to the election, they must be reported within two business days.
7 /1 /2016		CAMP DISC	First day that any political committee shall file its 2016 JUNE QUARTERLY CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
7 /1 /2016		ELEC OP	Submit RFP and specifications for the 2016 ELECTION CODES at the beginning of the year to Administrative Services. Administrative Services will handle bidding process for the codes covering laws passed in the 2016 legislative session. Estimated date of completion is SBE April/May 2017 10 ILCS 5/1A-8 (1-13)
7 /5 /2016		ELEC OP	Last day to object to petitions of candidates who filed June 20-27, 2016
7 /6 /2016		ELEC OP	Last day to conduct lottery to determine ballot position for 8:00 a.m. and 10:00 a.m. on June 20-27, 2016 filing period. 10 ILCS 5/10-6.2
7 /6 /2016		VRS	The Board will refer to the Attorney General of Illinois for compliance with the law requiring a voter whose computerized voter registration submission has not been received by the Board to submit a paper submission
7 /8 /2016		ELEC OP	Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-6.2
7 /11/2016		ELEC OP	Last day for the SBE to request Department of Public Health to provide information regarding nursing home care certified pursuant to the Illinois Nursing Home Care Act, the Specialized Care Act, or the ID/DD Community Care Act (not less than 120 days before election) to determine if the facility shall indicate the approved bed capacity and the name of the chief administrator. Information is for the November 8th, 2016 General Election 10 ILCS 5/10-6.2
7 /15/2016		CAMP DISC	Last day that a political committee shall file its 2016 JUNE QUARTERLY CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
7 /15/2016		ELEC OP	Target date for completion of the TOWNSHIP CAUCUS GUIDE for the 2016 General Election. LEO and township workshops should start in August 2016.
7 /15/2016		ELEC OP	Target date for completion of materials and PowerPoint presentation for the township workshops for the 2017 Consolidated Elections. (project started 2/1/16) LEO and township workshops should start in August 2016. 10 ILCS 5/1A-8 (1-13)

Start Date	End Date	Division	Activity
7 /15/2016		ELEC OP	Target date for completion of the LOCAL ELECTION OFFICIALS HANDBOOK for the 2016 General Elections. (project started 2/1/16) Target Completion date: 7/15/16 10 ILCS 5/1A-8 (1,2,3,7,11) should start in August 2016.
7 /15/2016		ELEC OP	Complete PowerPoint Presentation for election judge training program for the 2016 General Election 10 ILCS 5/1A-8 (1,2,6,11)
7 /18/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
7 /28/2016		ELEC OP	Generate material for the "List of Candidates" for the General Election 10 ILCS 5/1A-8
7 /29/2016		ELEC OP	Last day for the SBE to send a listing of facilities licensed or certified under the Mental Health Care Act, the Specialized Mental Health Rehabilitation Act, or the ID/DR Act to the proper election authorities. This list is for the November 8th, 2016 General Election (100 days before each regular election) Note: 100 days prior to the election is therefore, Friday, July 29th will be the last day to mail 10 ILCS 5/19-12
7 /31/2016		ELEC OP	Submit draft for 2017 OPTICAL SCAN MANUAL OF INSTRUCTIONS FOR ELECTIONS (Project started 1/1/16) Target completion date: 10/1/2016
8 /1 /2016		CAMP DISC	Date upon which the State Board of Election shall notify political committees of the 2016 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES deadline that an assessment will be levied against the committee for failure to file in a timely fashion. Rules and Regulations 125.425.
8 /5 /2016		LEG	Update Legislation by topic and year binder.
8 /10/2016		ELEC OP	Civic Organization certification cutoff date prior to the November 8, 2016 General Election (100 days before next election) 10 ILCS 5/1A-8 (1,2,12) (Board Rule 207.50)
8 /15/2016		CAMP DISC	The date that a second letter goes out to all committees that have failed to file the 2016 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES advising them that an assessment for late filing is continuing and that a committee fails to file the 2016 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.
8 /16/2016		CAMP DISC	Begin preparation of packet materials for 2016 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed by 5/9-15.
8 /17/2016		VRS	Deadline for exemption request. (Rules and Regulations)

6. **Follow up.**
7. **Comments from the general public.**
8. **Next Board Meeting scheduled for Monday, July 11, 2016 at 10:30 a.m. in Springfield.**
9. **Executive Session.**